

# Supervisory Control & Monitoring

Topic - Integrating Industrial Control Systems (I.C.S.)

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# References

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**K.L.S. SHARMA**

*Overview of Industrial Process Automation - 2<sup>nd</sup> edition.*  
Elsevier, 2017

**G. MAGNANI, G. FERRETTI, P. ROCCO**

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**P. Chiacchio, F. Basile**

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*Fieldbus Technology*  
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CRC Press - Taylor & Francis Group, LLC, 2013

# Outline

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- C.I.M.
- Control Networks
- System Integration
  - I.M.S
  - P.R.M.
  - M.E.S.
  - E.R.P.
- Security issues in I.C.S.

# C.I.M.

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## Computer **I**ntegrated **M**anufacturing

Production systems integrate by means of structures, methods and technologies that refer to the **I**nformation and **C**ommunication **T**echnology

The system integration allows for the **optimization** both of the **production flow**

- i.e., how different processes interact each other

and of the **process**

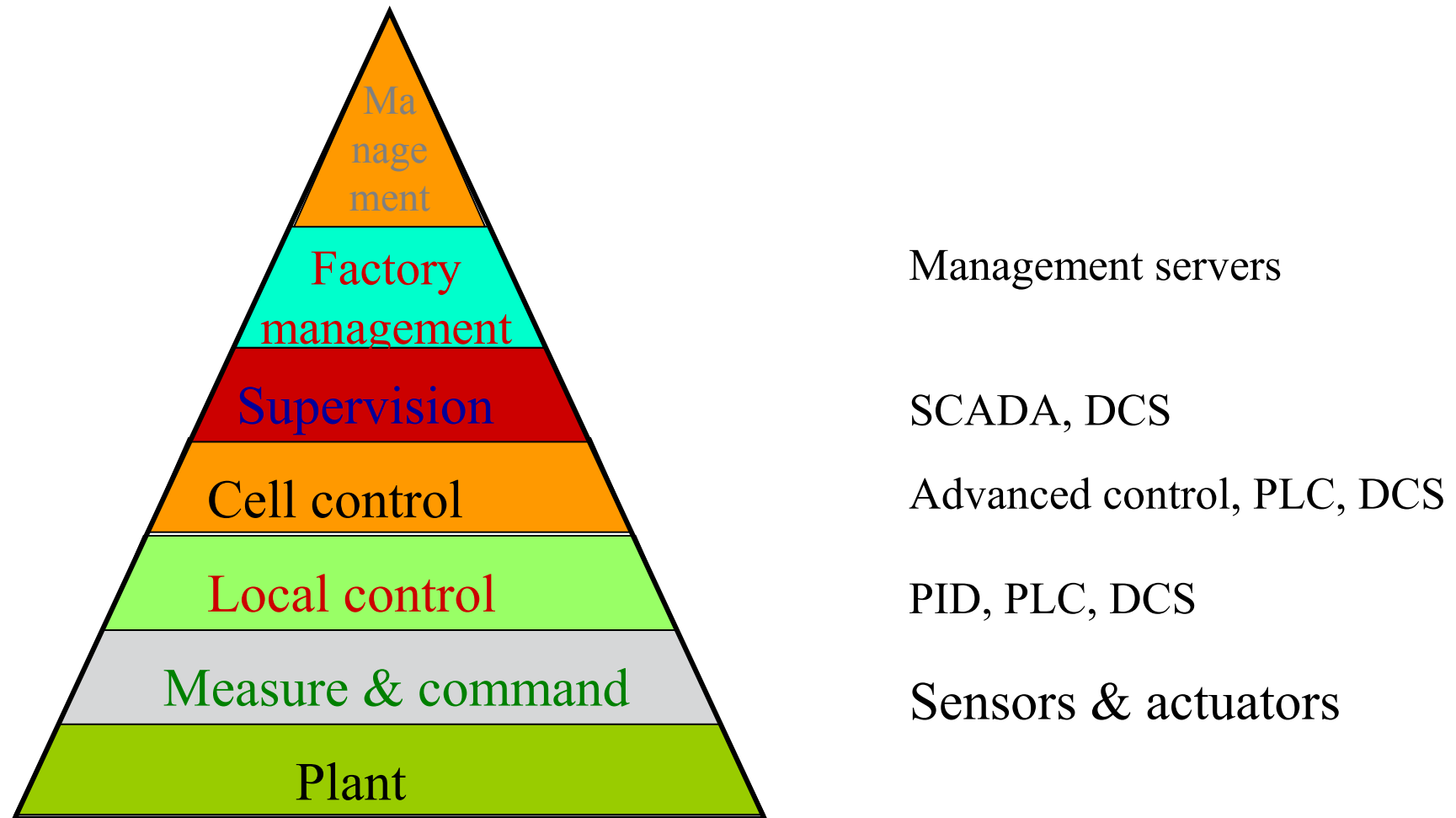
i.e., how each process is carried on

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# C.I.M.

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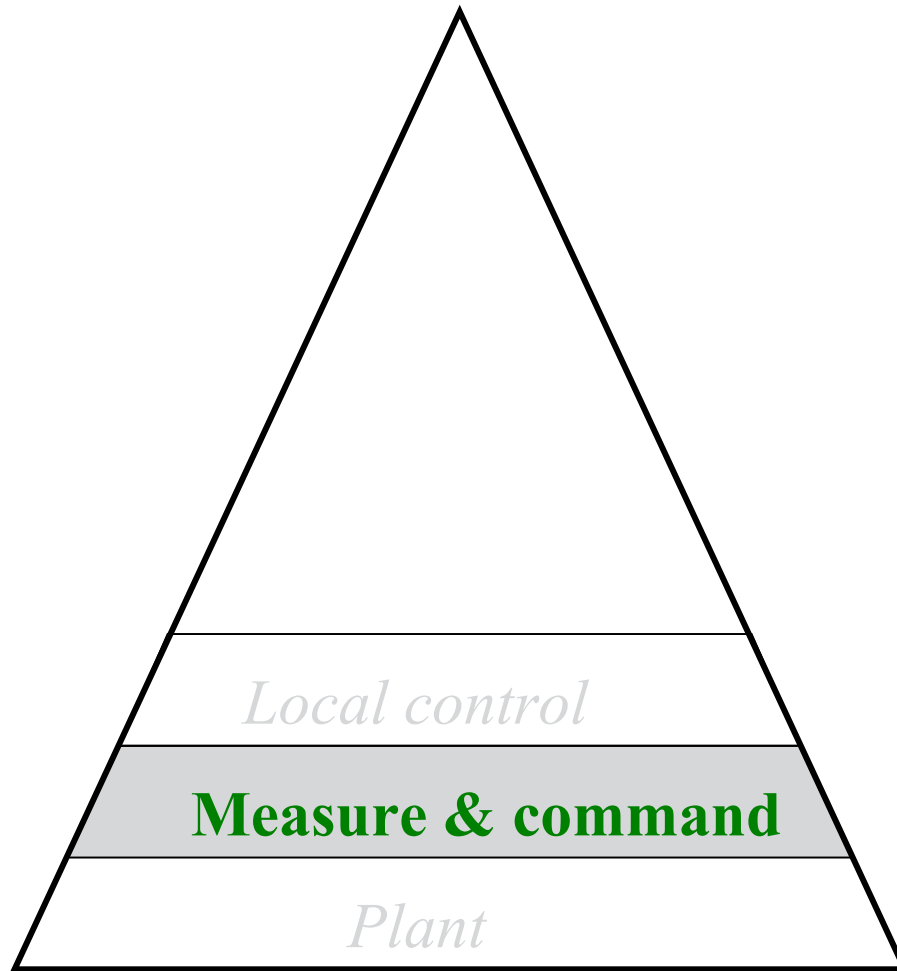
## C.I.M. pyramid



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# C.I.M.

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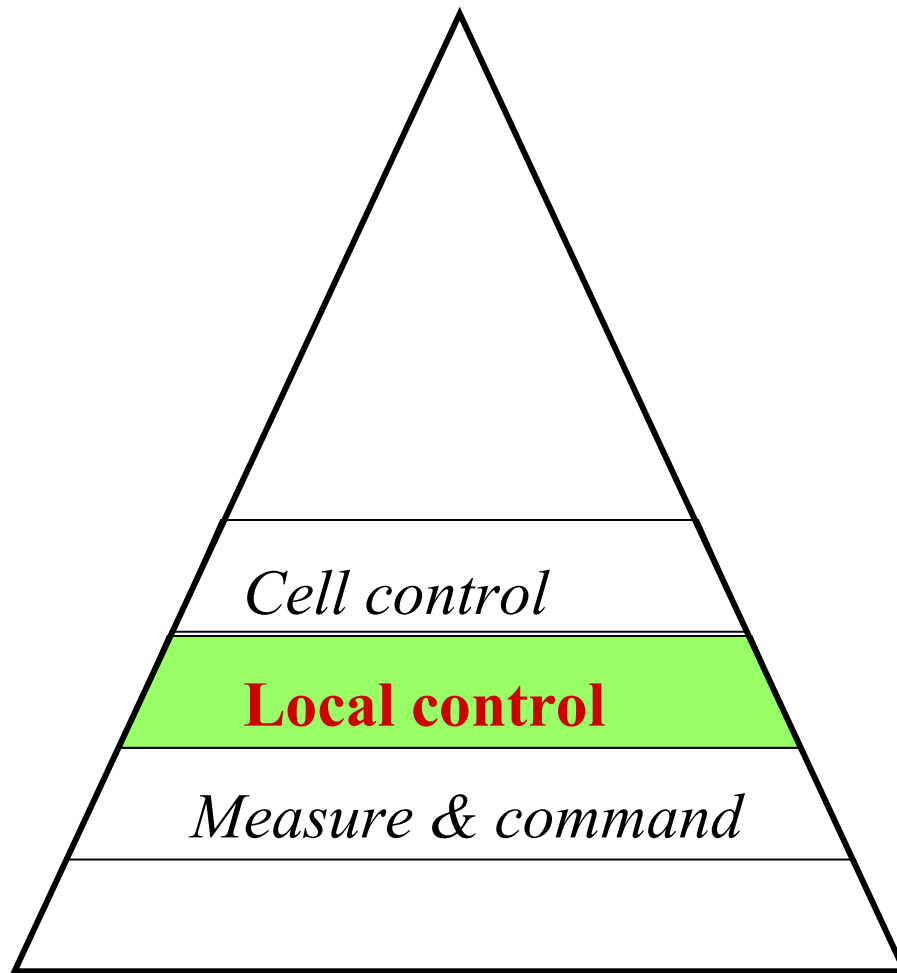
## Sensors & actuators

**Sensors:** provide the plant variables data needed for the safe and efficient management of the plant

**Actuators:** allow for acting on the manipulated variable to drive the plant according to the desired specifications

# C.I.M.

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Based on set-points given by the Cell control, the devices and machineries are controlled to guarantee the task fulfillment

**Sequential Control:**

Programmable **L**ogic **C**ontroller

**Process control:**

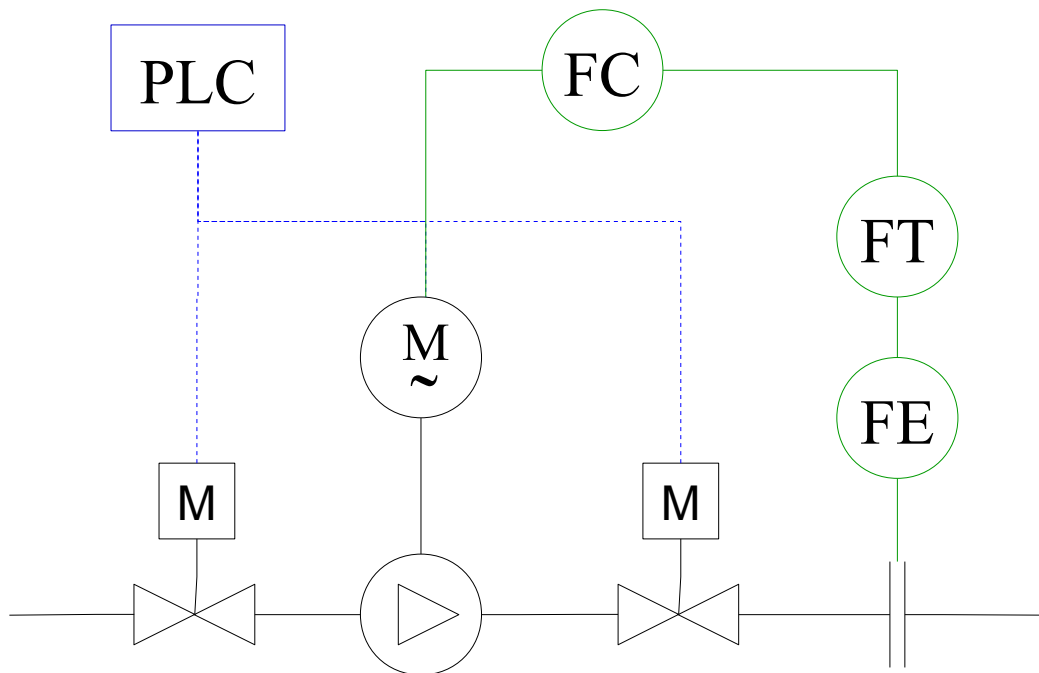
Proportionale **I**ntegral **D**erivative controller

**Example:** control of a pump.

# C.I.M.

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**Example:** control of a pump.



**Sequential Control:**

Define the sequence to start and stop the pump

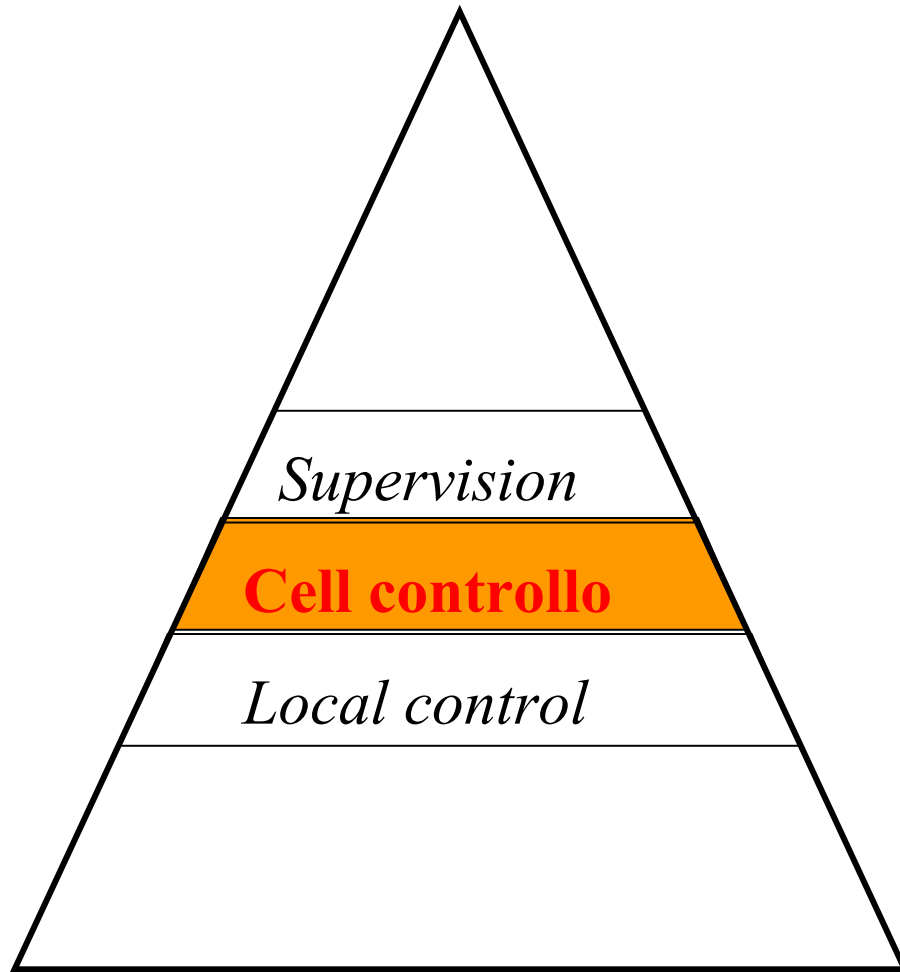
**Process control:**

Regulate the flow to the desired value



# C.I.M.

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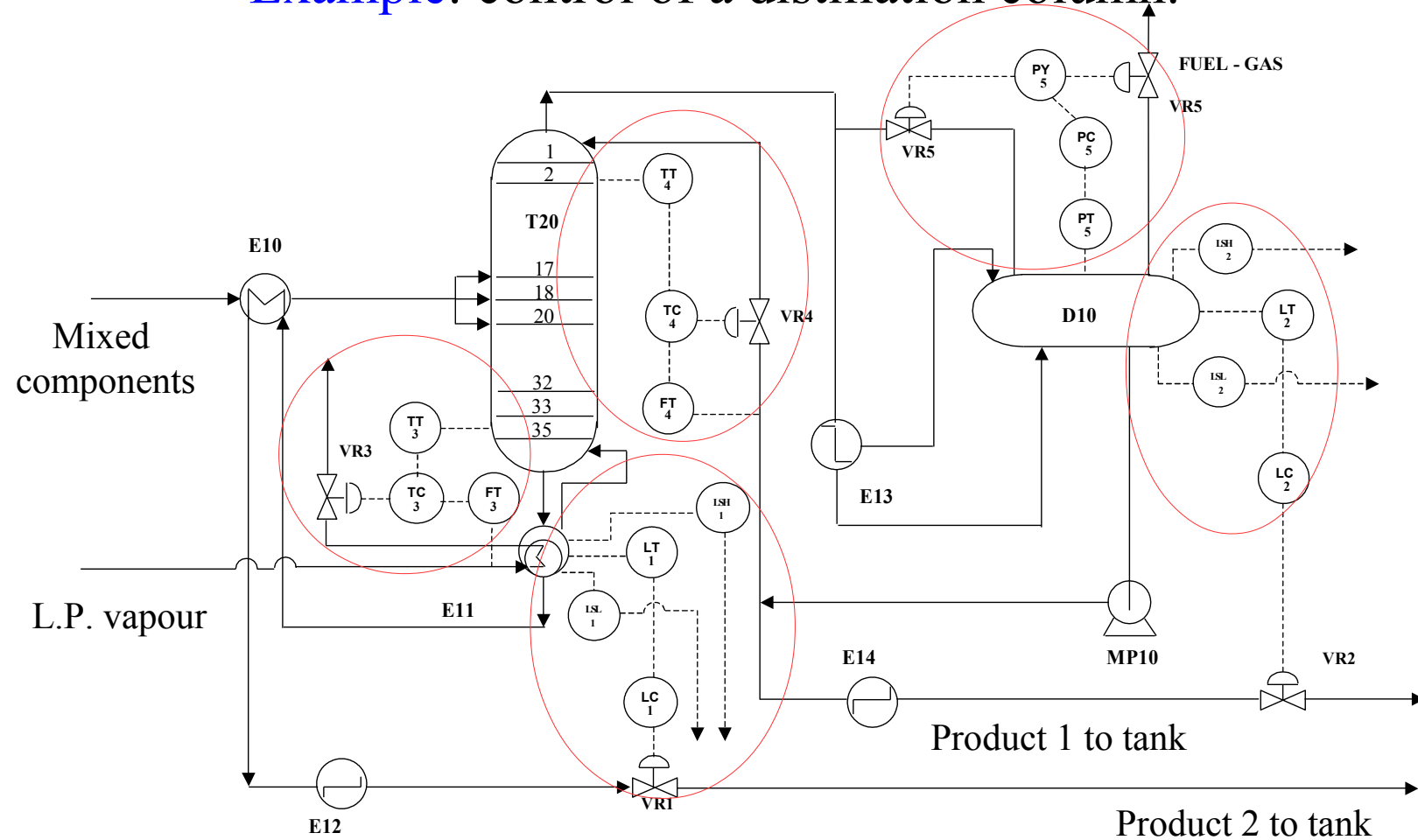
## Advanced control, PLC

Taking into account the outputs of the supervisory control, the set points are defined in order to achieve the correct coordination of a complex system.

**Example:** control of a distillation column.

# C.I.M.

Example: control of a distillation column.



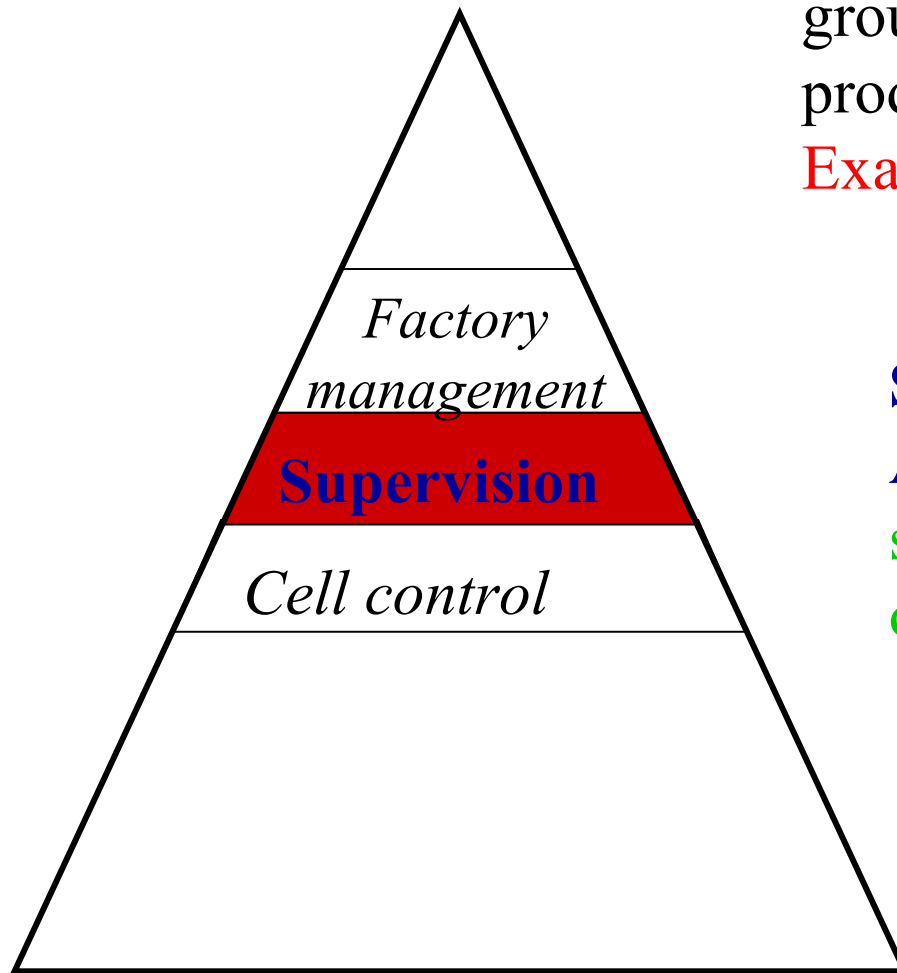
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# C.I.M.

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Coordination of several devices and/or group of devices to produce goods and products to sell or for further activities.

**Example:** power plant.



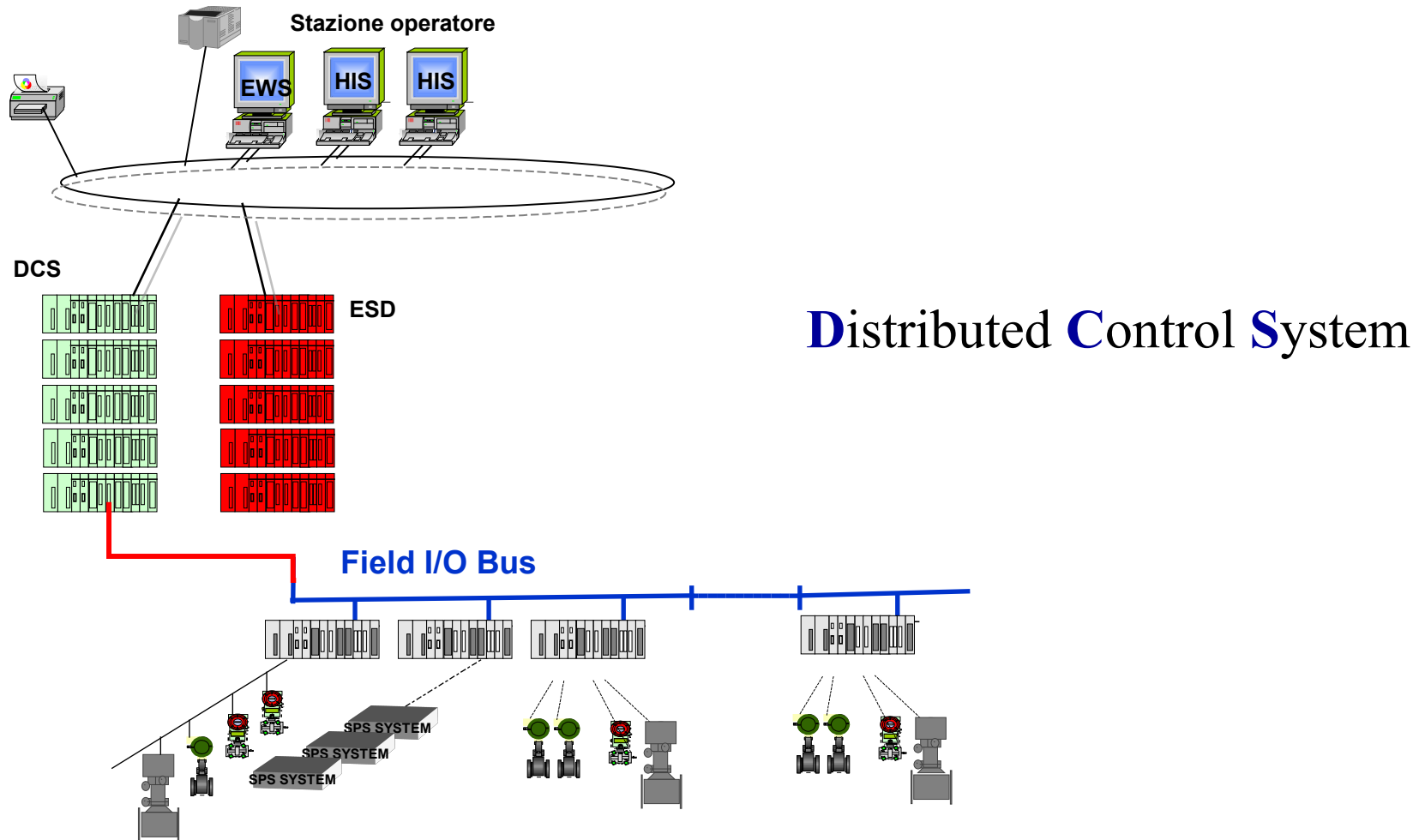
**Supervisory Control And Data Acquisition:** system that acquires, stores and presents data and allows the operator to command

**Distributed Control System:** it is an integration of the *SCADA* with decentralise/local controllers

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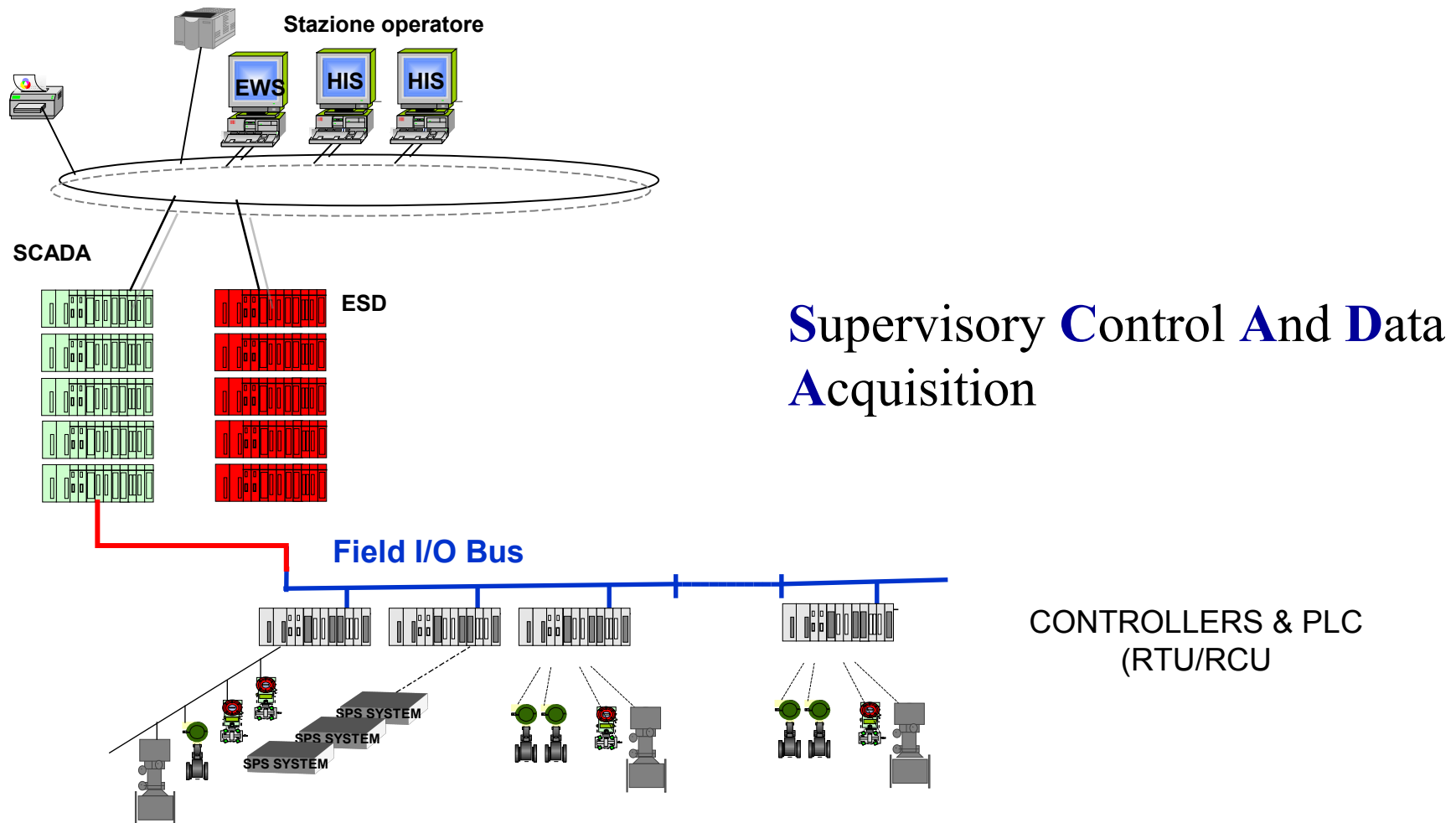
# C.I.M.

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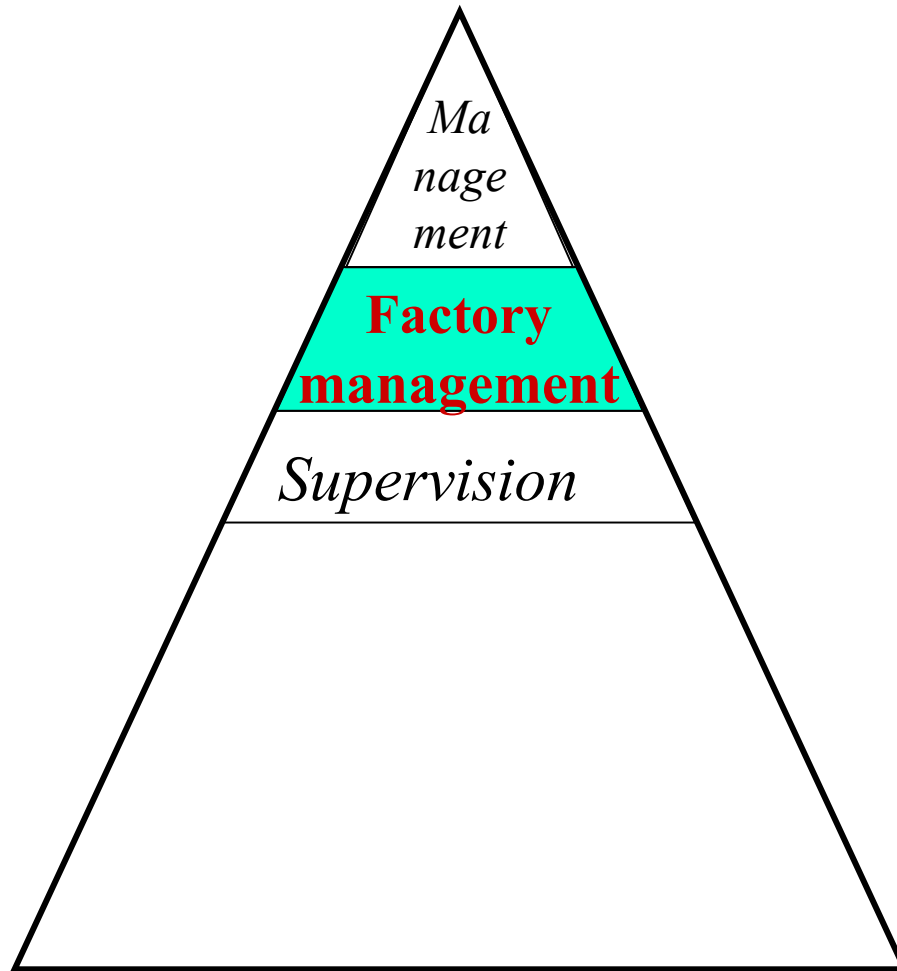
# C.I.M.



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# C.I.M.

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## Management server(s)

Coordinates the administrative operations and logistics according to the scheduled plans and the actual production results

This activity is not completely automated

**M**anufacturing **E**xecution **S**ystems (*MES*)

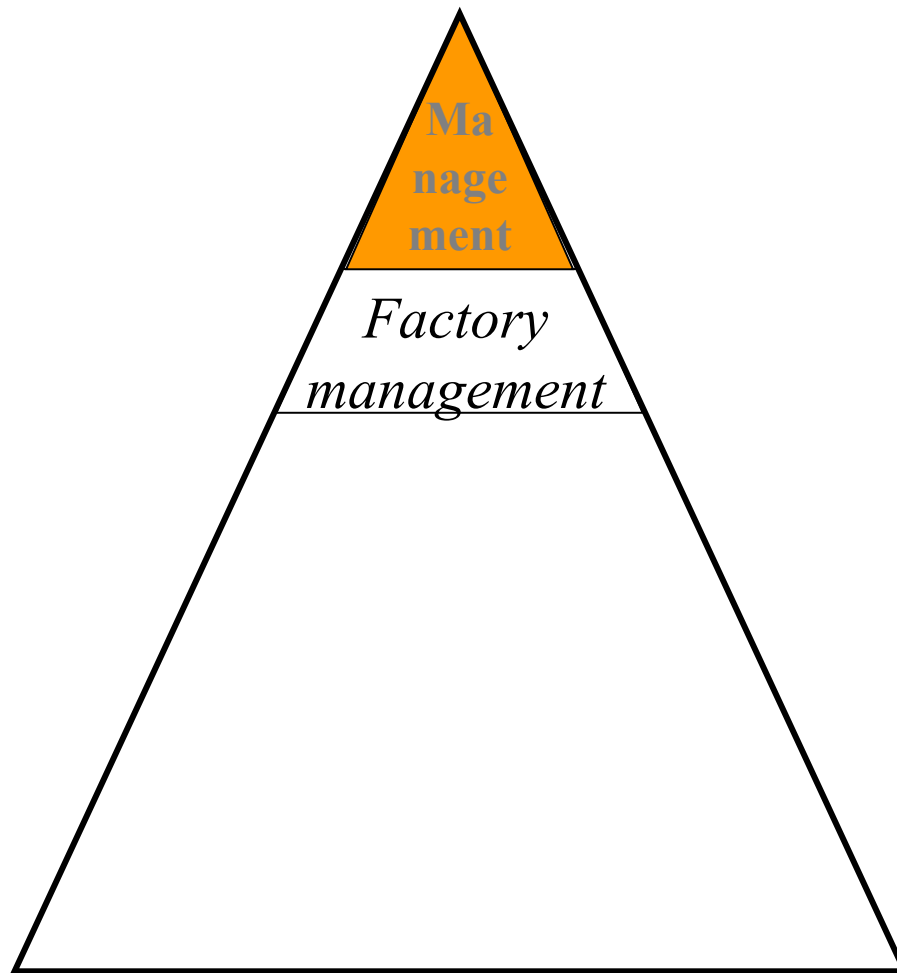
**P**lant **I**nformation **M**anagement **S**ystem (*PIMS*)

**P**lant **R**esources **M**anager (PRM)

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# C.I.M.

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This function is devoted to define the company policies, finding opportunity and threats related to the market and to the production system.

It uses the resuming data from the factory management system to carry on a technical-economic analysis and to define the company budget.

**Just few management programs available**

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# C.I.M.

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The C.I.M. system needs devices which are able to

**acquire the data** - sensors e trasducers

**data coding** - A/D e D/A converters, I/O boards

**data transmission** - fieldbus, ethernet, LAN, GSM

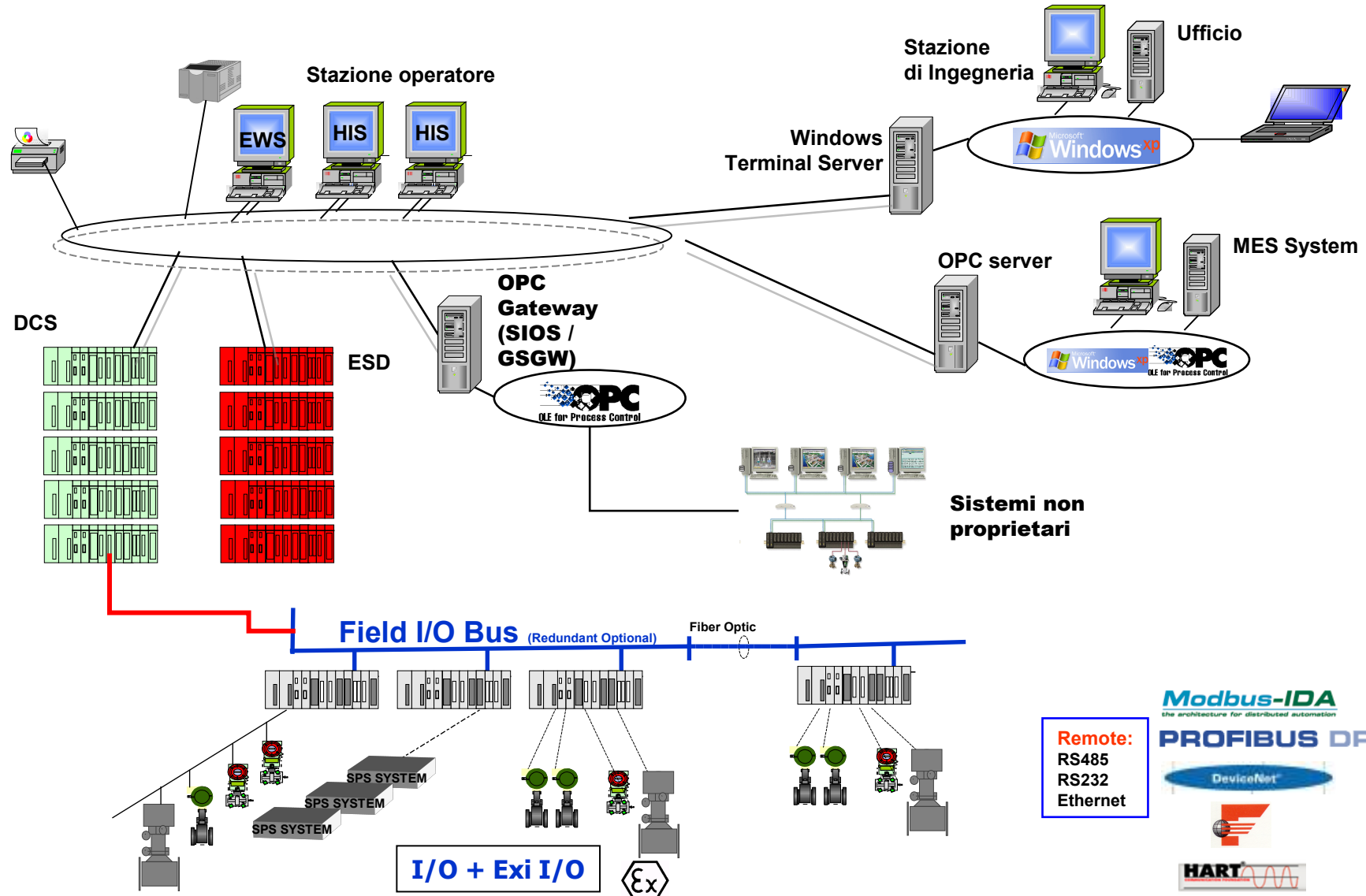
**data elaboration** - PID, PLC, DCS, advanced controllers

**data management and presentation** - SCADA, DCS, MES, PIMS, PRM

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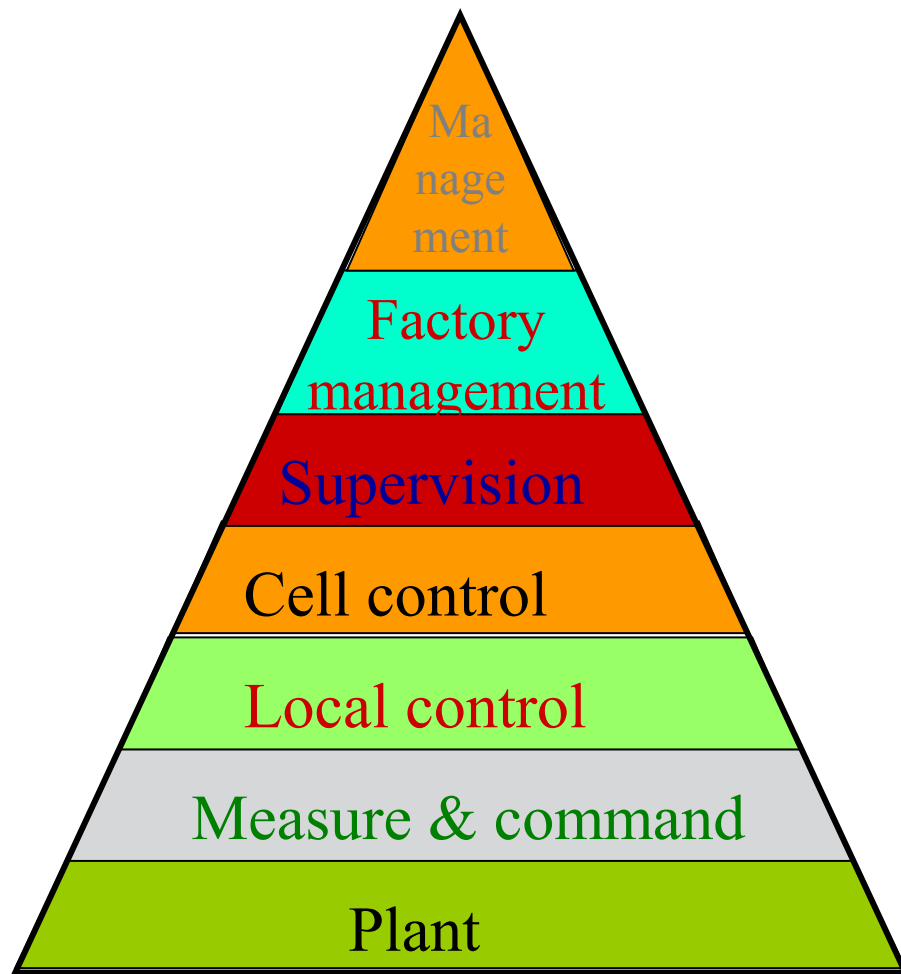
# C.I.M.



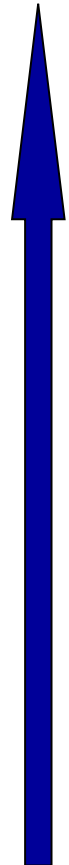
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# Networks

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Quality



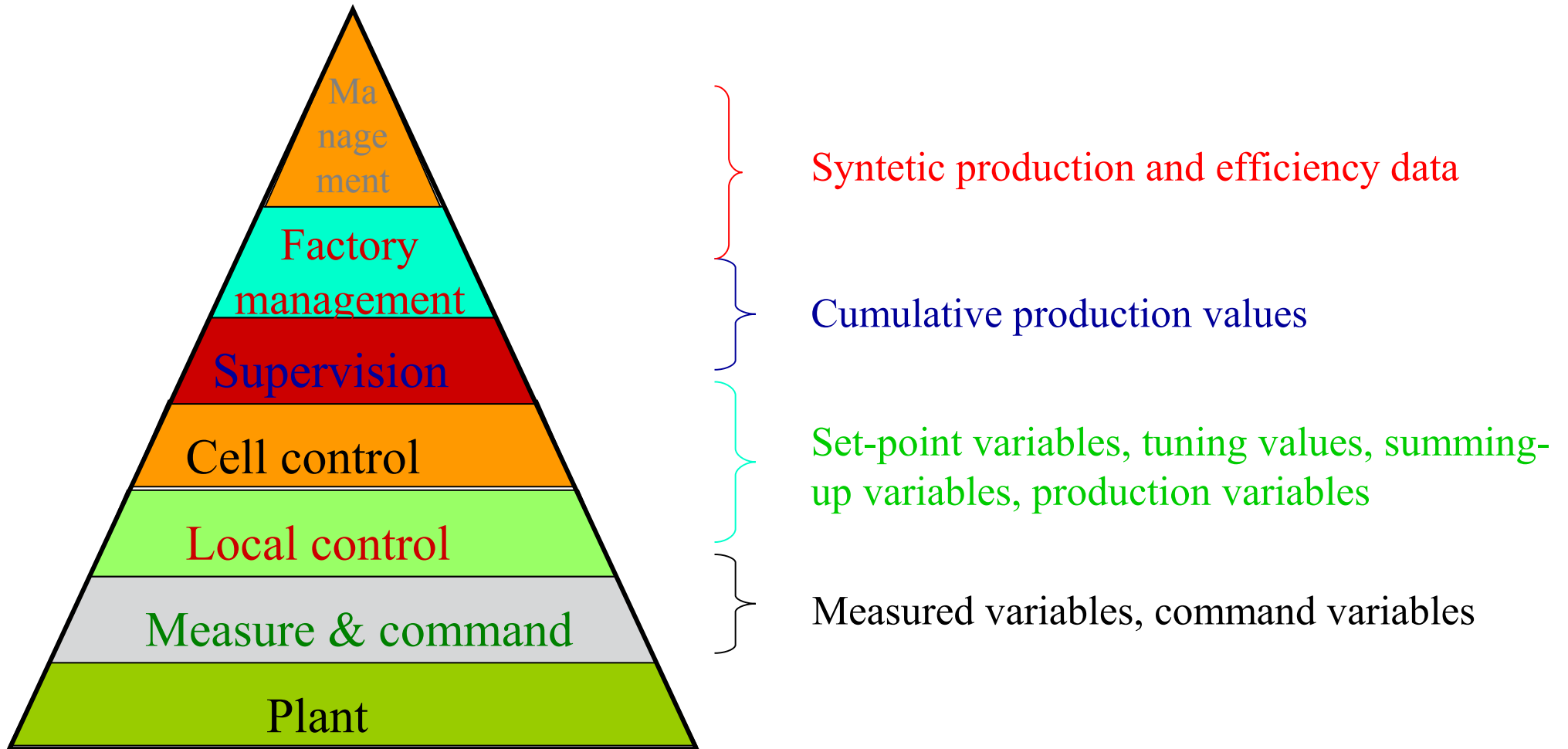
Frequency

Quantity

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# Networks

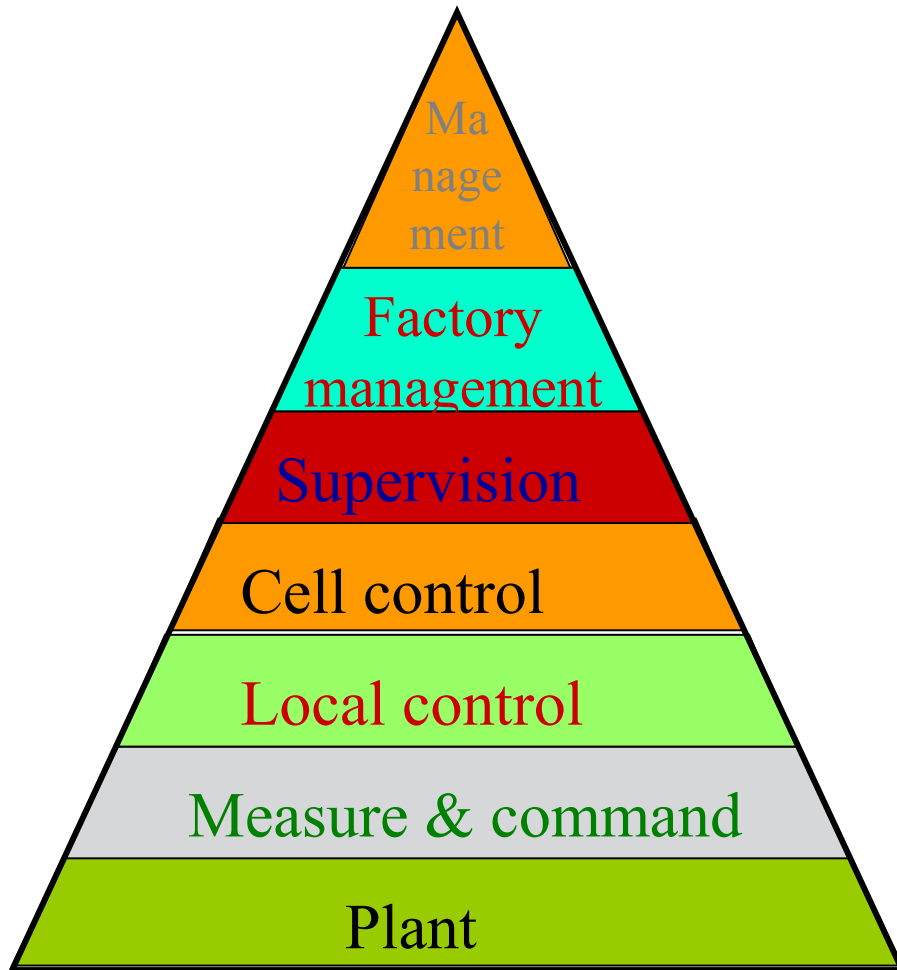
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# Networks

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Syntetic data with daily update

Not many simple data with daily update

Not so many simple data, possibly with a certain refresh time interval

Many and frequent elementary data, possibly with a certain relatively short refresh time interval

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# Networks

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*I.S.O. - O.S.I. Model*

International **S**tandard **O**rganisation

**O**pen **S**ystem **I**nterconnection

It is a reference model for communication networks

It is a gerarchical model with layers

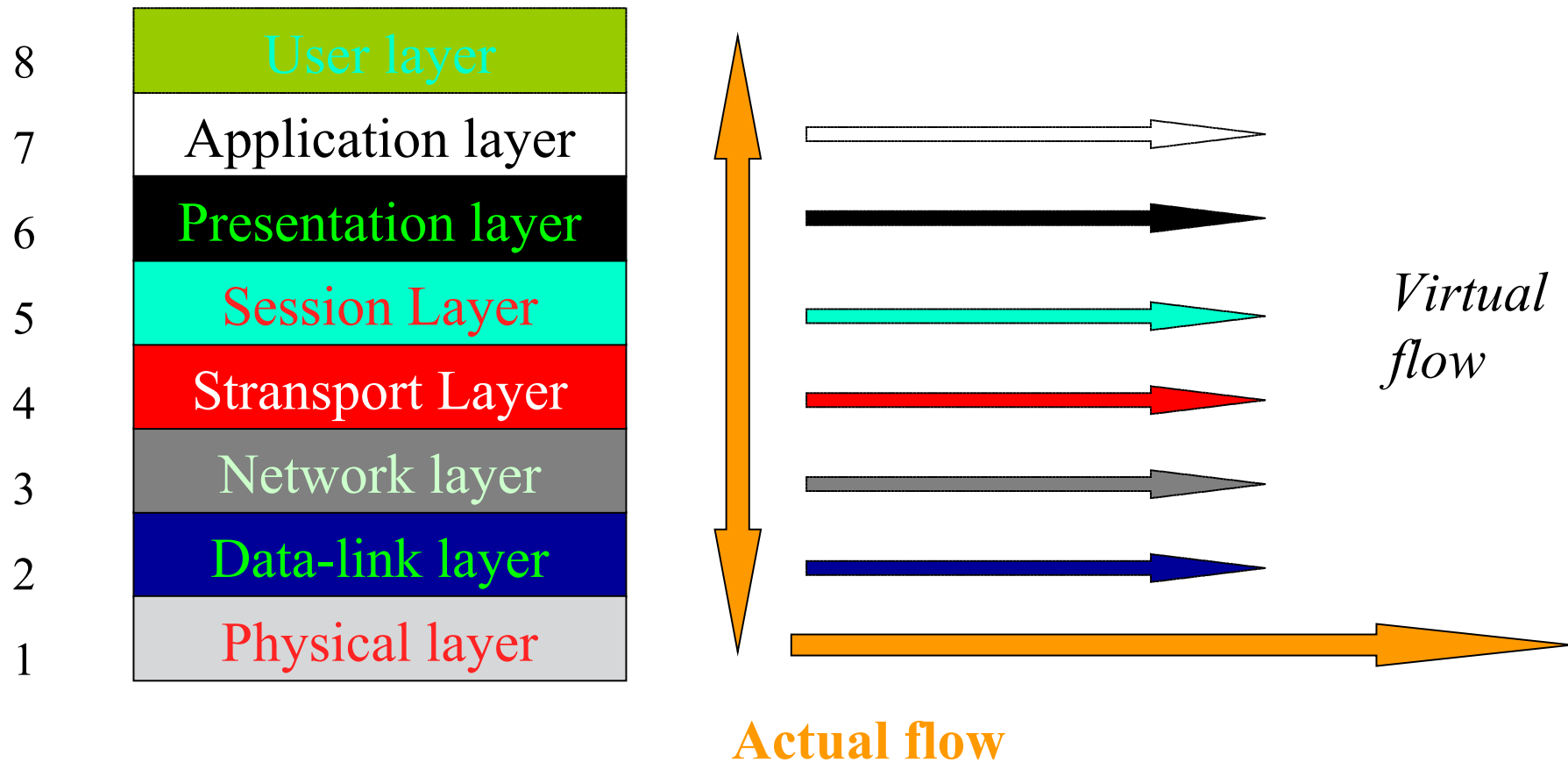
Phisically, each layer is connected only with its upstream and downstream layers

Functionally, each layer implemented in a communication device is connected to the same layer in the other connected devices

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# Networks

## *I.S.O. - O.S.I. Model*



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# Networks

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## *I.S.O. - O.S.I. Model*

<i>User</i>
<i>Application</i>
<i>Presentation</i>
<i>Session</i>
<i>Trasport</i>
<i>Network</i>
<i>Data link</i>
<b>Physical layer</b>

### Physical Layer

It is constituted by the devices and structures that guarantee the device connections: **channel**

- **Cupper cables**
- **Optical fiber cables**
- **Electromagnetic waves**

**Bit coding**

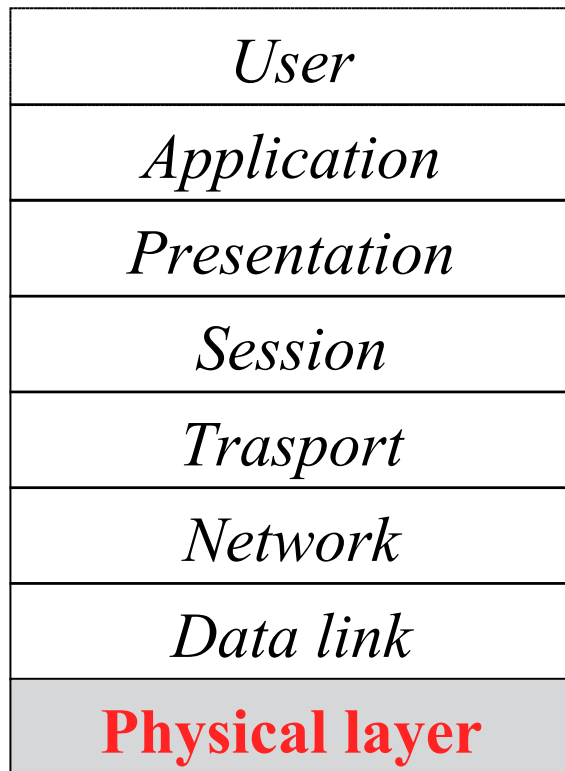
**Network topology and type**

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# Networks

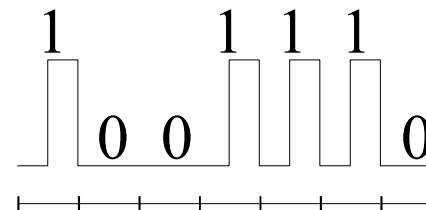
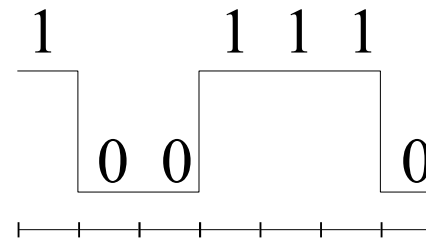
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## *I.S.O. - O.S.I. Model*



### Physical Layer

#### Bit coding

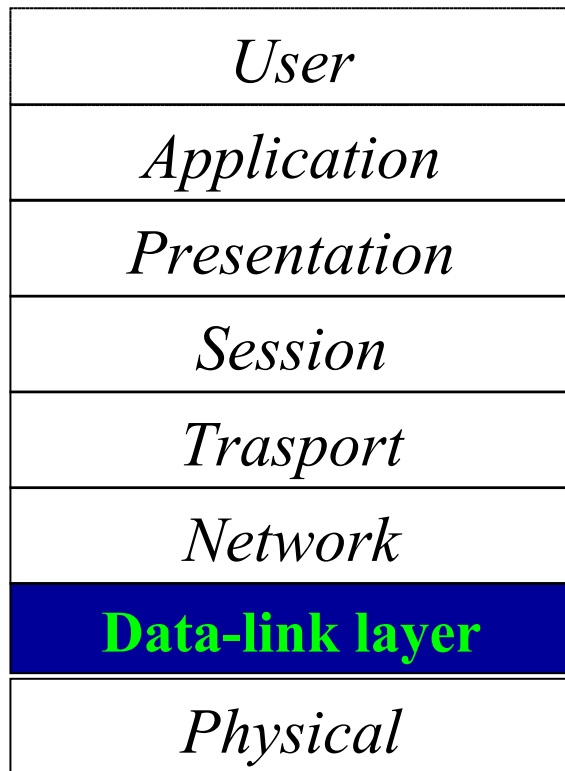




# Networks

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## *I.S.O. - O.S.I. Model*



### Data-Link Layer

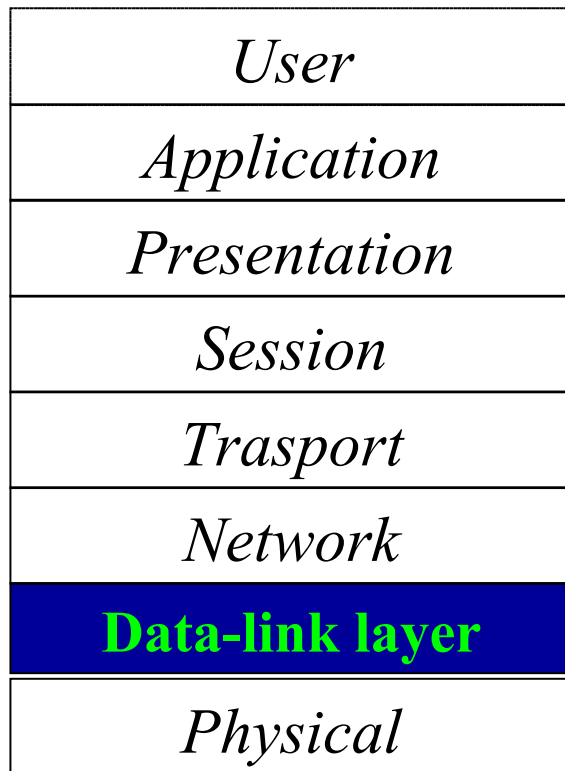
Defines the structure of the frame: **frame coding**

Defines the rules to allow the devices to access the network: **network management**

# Networks

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## *I.S.O. - O.S.I. Model*



## Data-Link Layer

### frame coding

Init		recipient			sender			body								end		ck		
1	1	0	1	0	0	0	1											0	0	1

The structure of the frame and the rules to access the network depend on the **network protocol**

# Networks

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## *I.S.O. - O.S.I. Model*

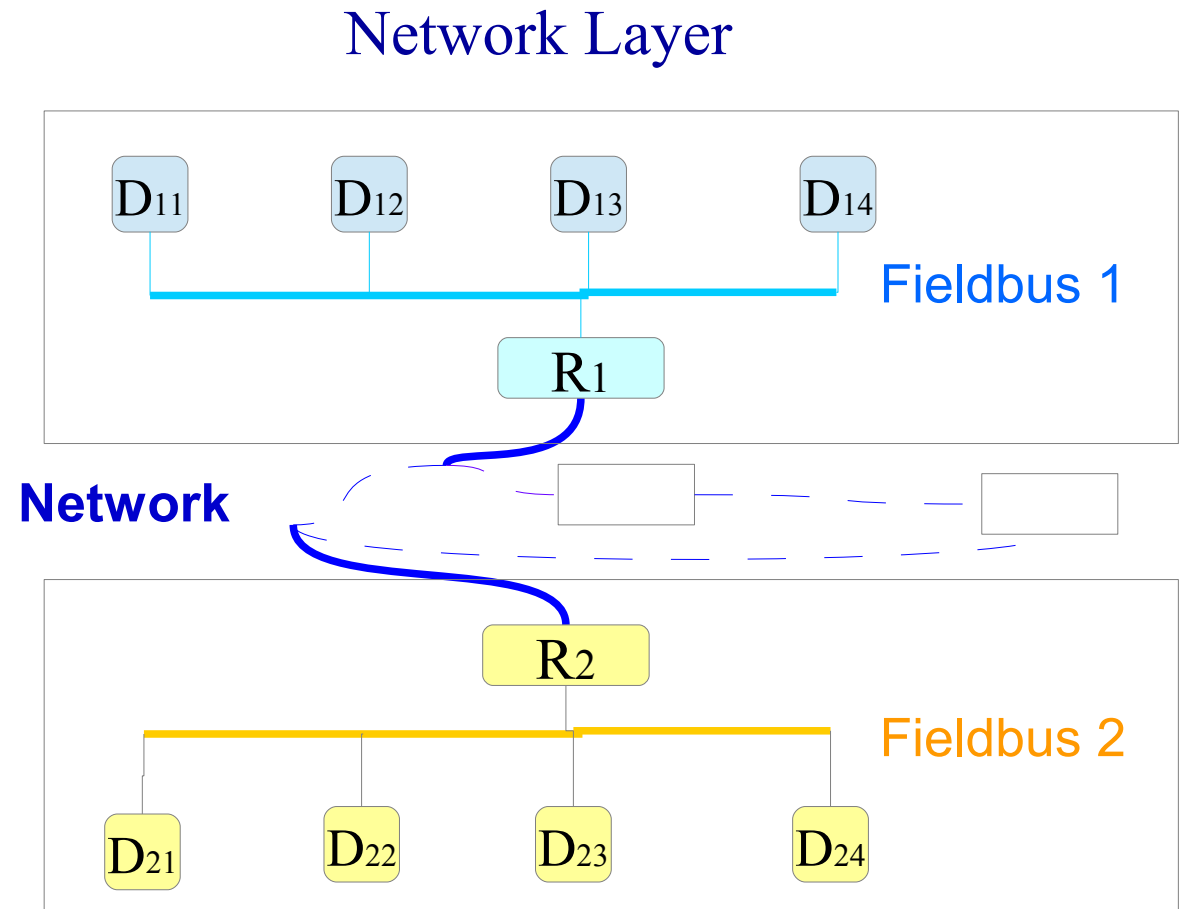
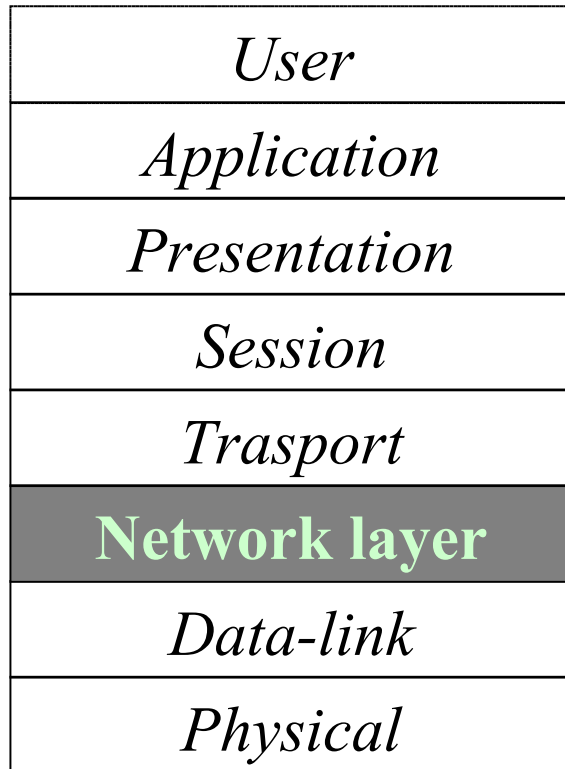
### Network Layer

<i>User</i>
<i>Application</i>
<i>Presentation</i>
<i>Session</i>
<i>Trasport</i>
<b>Network layer</b>
<i>Data-link</i>
<i>Physical</i>

Allow for the data transfer between devices not connected to the same physical network:  
**data addressing and routing**

# Networks

## *I.S.O. - O.S.I. Model*



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# Networks

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## *I.S.O. - O.S.I. Model*

<i>User</i>
<i>Application</i>
<i>Presentation</i>
<i>Session</i>
<b>Trasport layer</b>
<i>Network</i>
<i>Data-link</i>
<i>Physical</i>

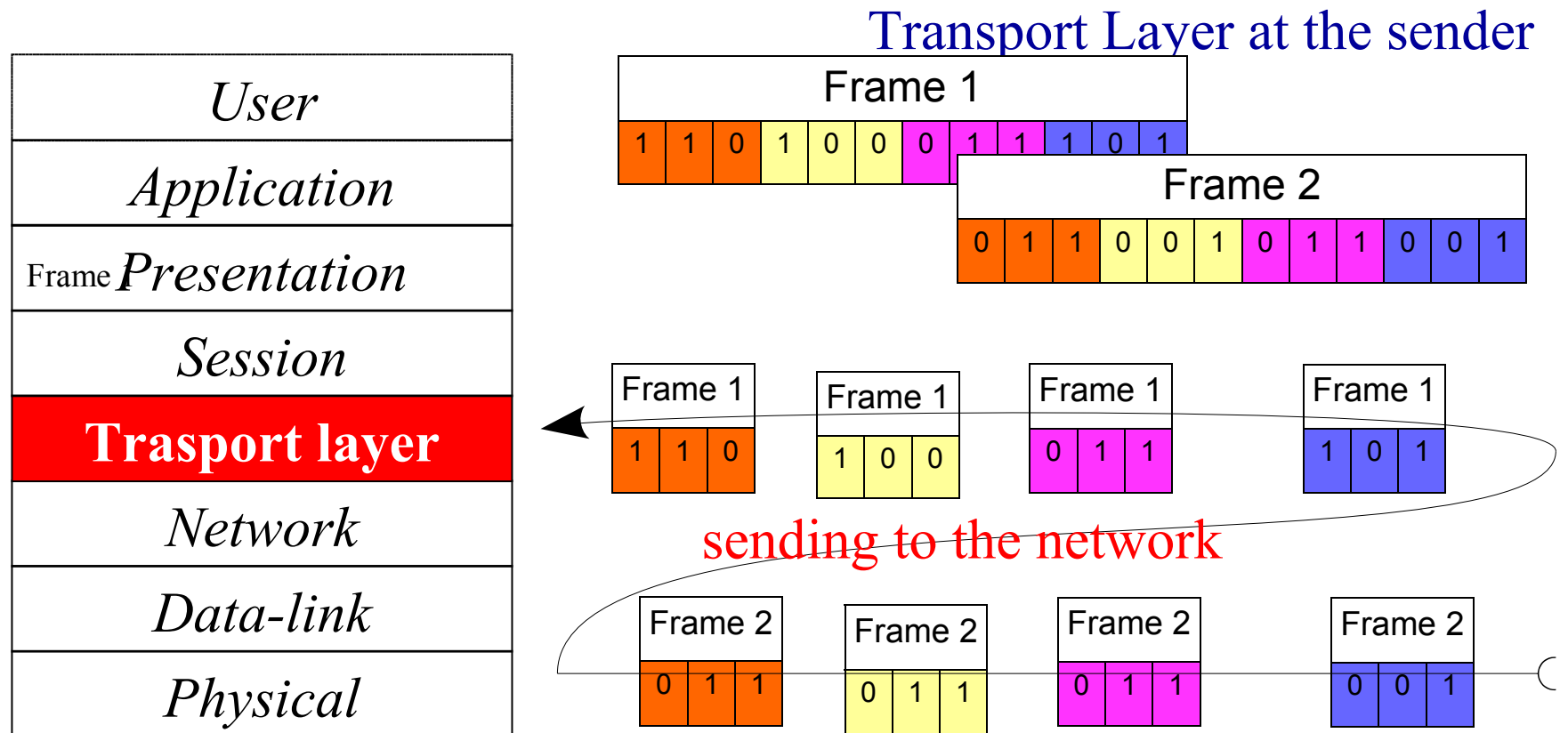
### Transport Layer

Assures that the frame is correctly sent and received:

- **the frame is cutted into packets which are sent individually and the frame is reconstructed at the receiver**
- **Router congestion managing**

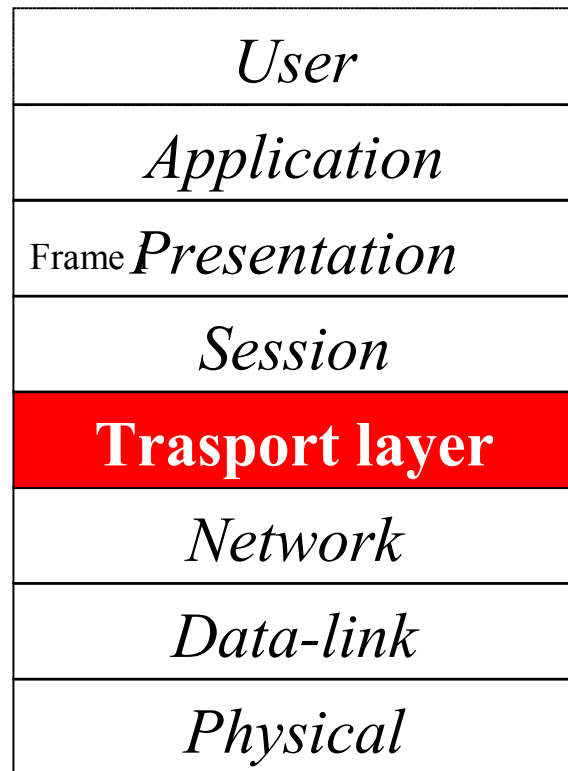
# Networks

## *I.S.O. - O.S.I. Model*

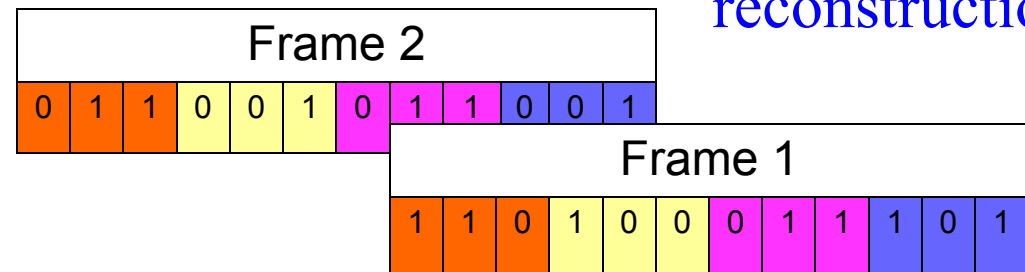
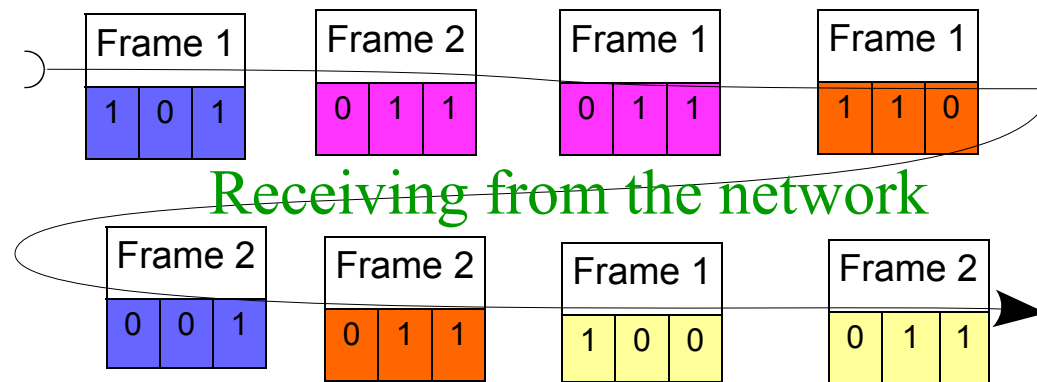


# Networks

## *I.S.O. - O.S.I. Model*



### Transport Layer at the receiver



# Networks

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## *I.S.O. - O.S.I. Model*

<i>User</i>
<i>Application</i>
<i>Presentation</i>
<b>Session layer</b>
<i>Trasport</i>
<i>Network</i>
<i>Data-link</i>
<i>Physical</i>

### Session Layer

Allows two devices to communicate in a synchronized way:

- **remote connection management**
- **recovering of packet losses in the communication**



# Networks

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## *I.S.O. - O.S.I. Model*

<i>User</i>
<i>Application</i>
<b>Presentation layer</b>
<i>Session</i>
<i>Trasport</i>
<i>Network</i>
<i>Data-link</i>
<i>Physical</i>

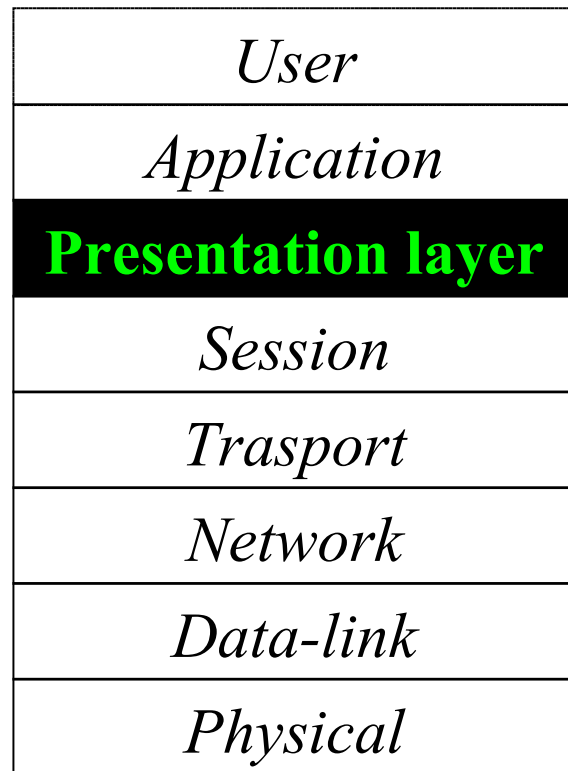
### Presentation Layer

Allows for associating the correct information to each frame:

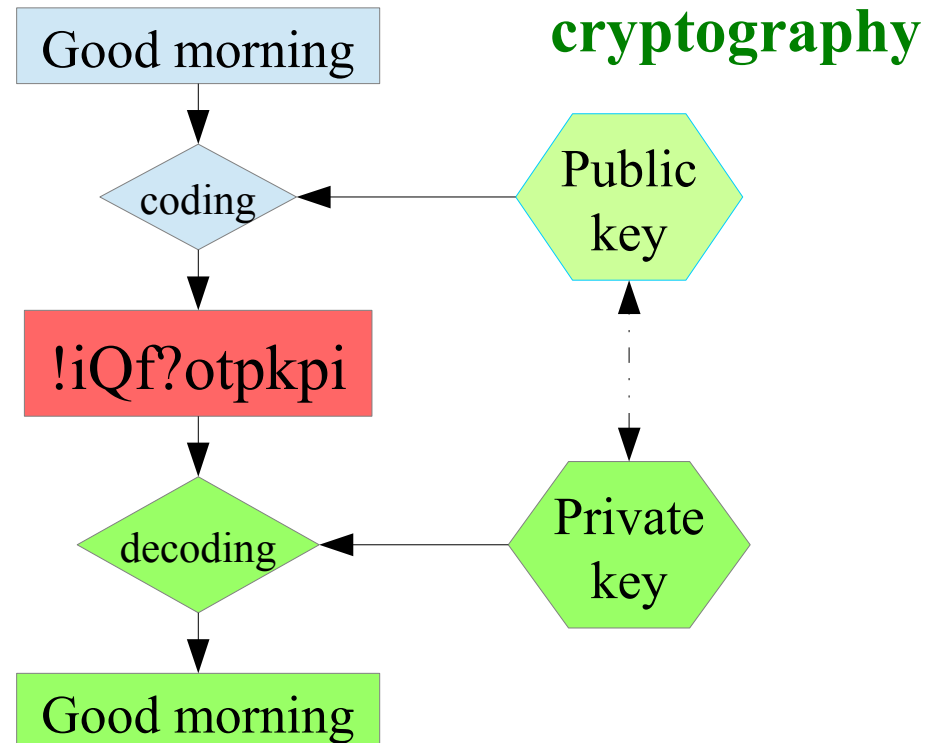
**recontruction of the received information (e.g., cryptography)**

# Networks

## *I.S.O. - O.S.I. Model*



### Presentation Layer



# Networks

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## *I.S.O. - O.S.I. Model*

<i>User</i>
<b>Application layer</b>
<i>Presentation</i>
<i>Session</i>
<i>Trasport</i>
<i>Network</i>
<i>Data-link</i>
<i>Physical</i>

### Application Layer

Allows the applications to use the data in a proper way:

**application interface**

**e.g.,**

- Mail clients
- File transfer applications

# Networks

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## *I.S.O. - O.S.I. Model*

<b>User layer</b>
<i>Application</i>
<i>Presentation</i>
<i>Session</i>
<i>Trasport</i>
<i>Network</i>
<i>Data-link</i>
<i>Physical</i>

### User Layer

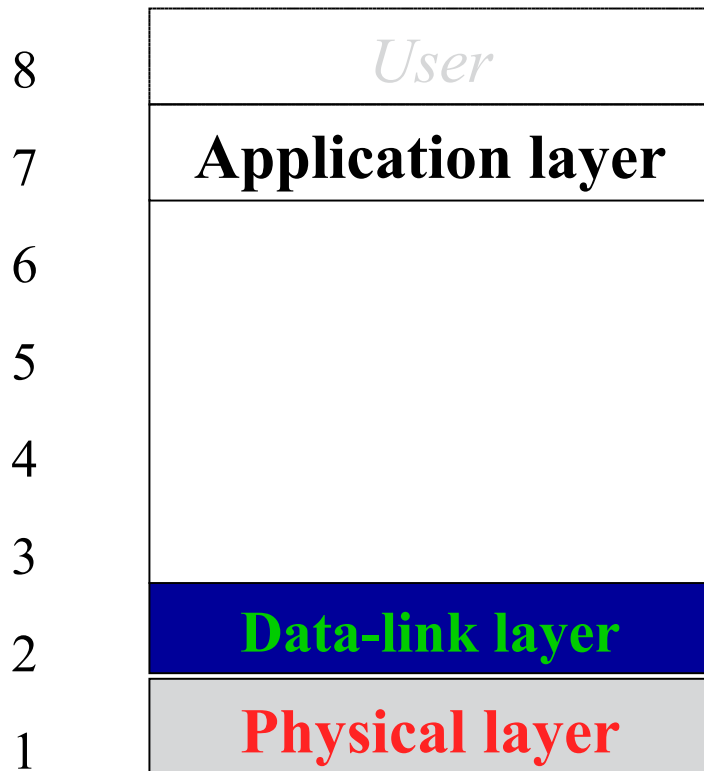
Allows the data to be well recognised and understood by the user: **man/machine interface**

This layer is not fully classified and not usually present, at least formally

# Networks

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## *Fieldbus - L.A.N.*



the 3<sup>rd</sup>÷6<sup>th</sup> layers are usually not implemented:

each node is connected either physically or logically to the same network.

The 8<sup>th</sup> (optional) is rarely implemented: just the nodes with the presence of the operator

The 1<sup>st</sup> e 2<sup>nd</sup> layers are the most critical for the control purposes

# Networks

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Physical channel: **copper cables**

*Twisted pair*: a pair of twisted isolated copper strings allow an electrical signal to be transmitted between/among different devices. Possibly a metallic shield protect the cable from electromagnetic disturbances.

Standard EIA: RS 232, RS 232C, **RS 485**, RS 422

Standards are mostly based on voltage differences between two cables with respect to a reference node/cable: **less sensitivity to voltage drops due to the cable length**

**Higher voltages allow for longer cables, i.e., a greater distance between communication devices**

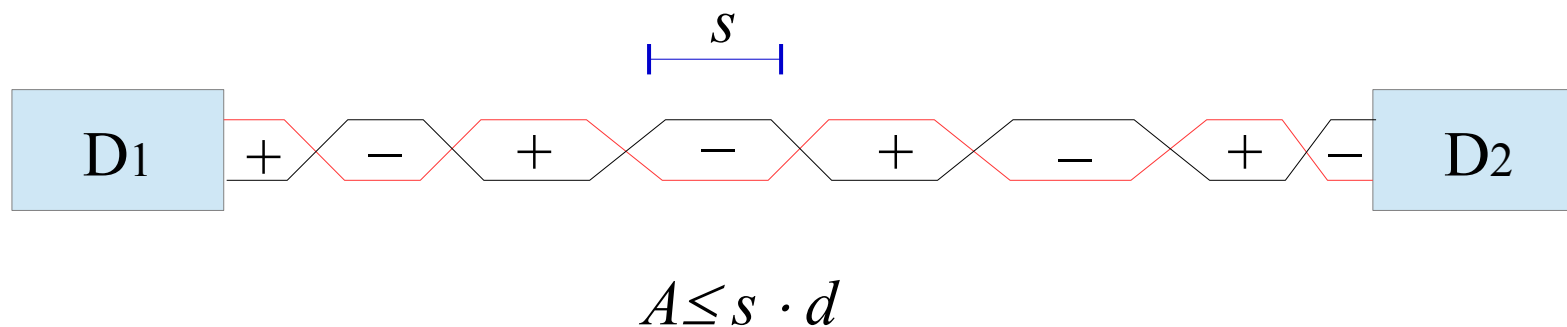
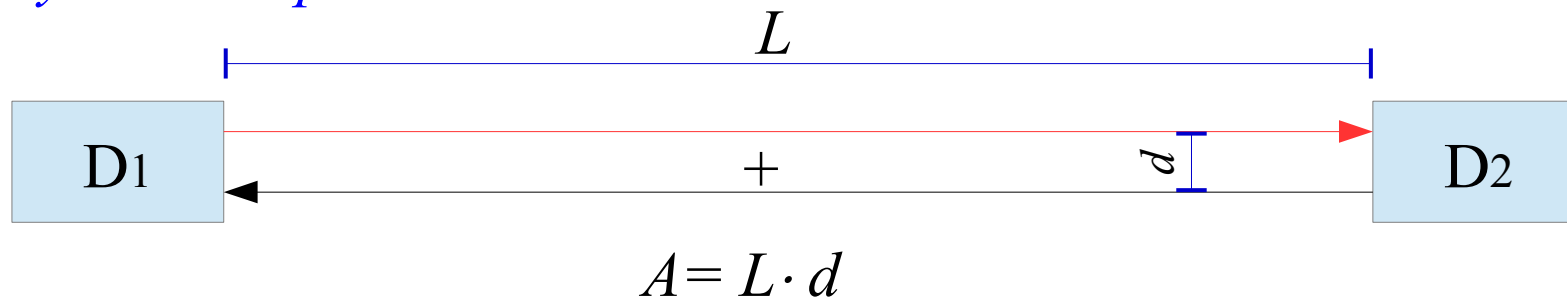
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# Networks

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Physical channel: **copper cables**

*Why Twisted pair?*



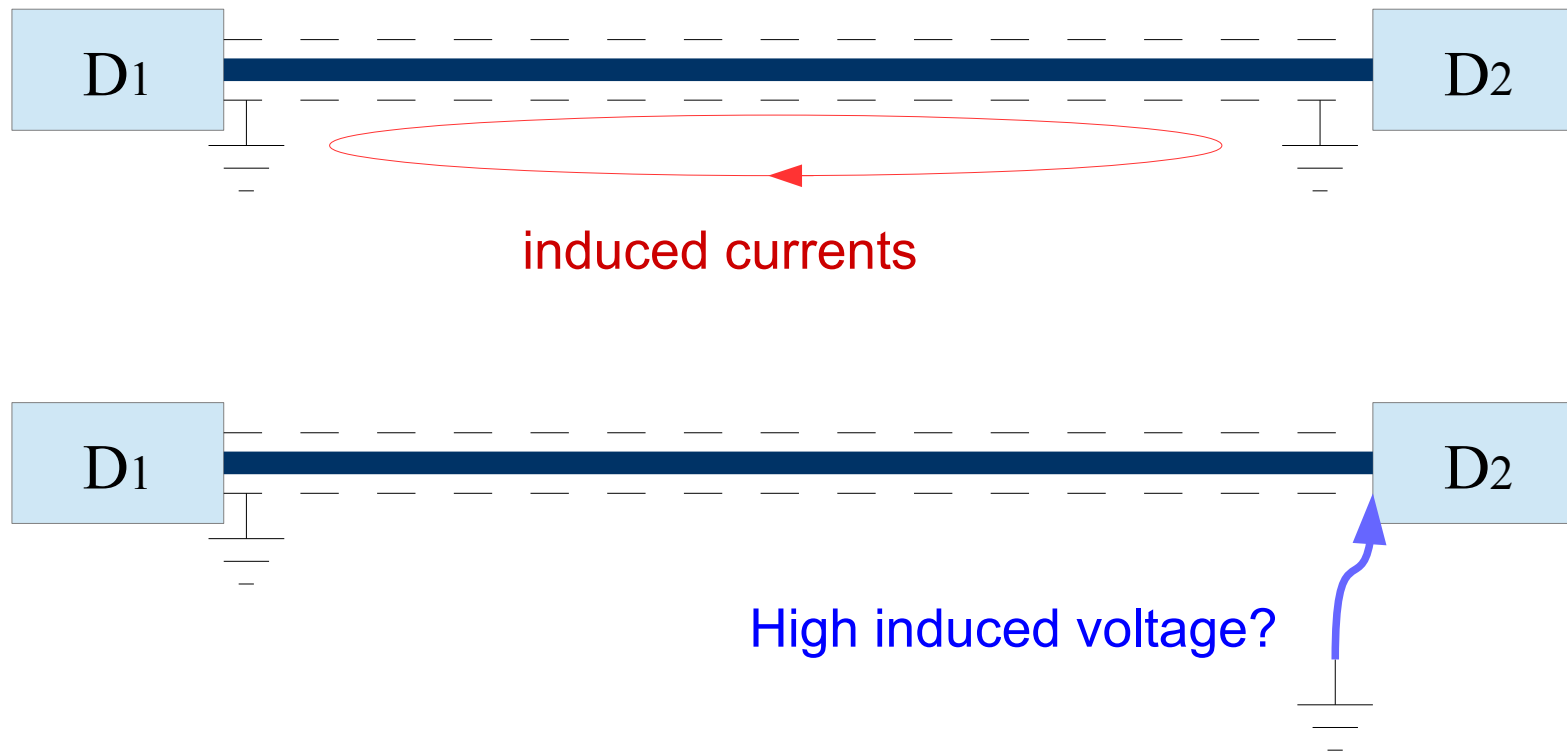
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# Networks

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Physical channel: **copper cables**

*Overvoltages and/or parasitic currents on the shield?*



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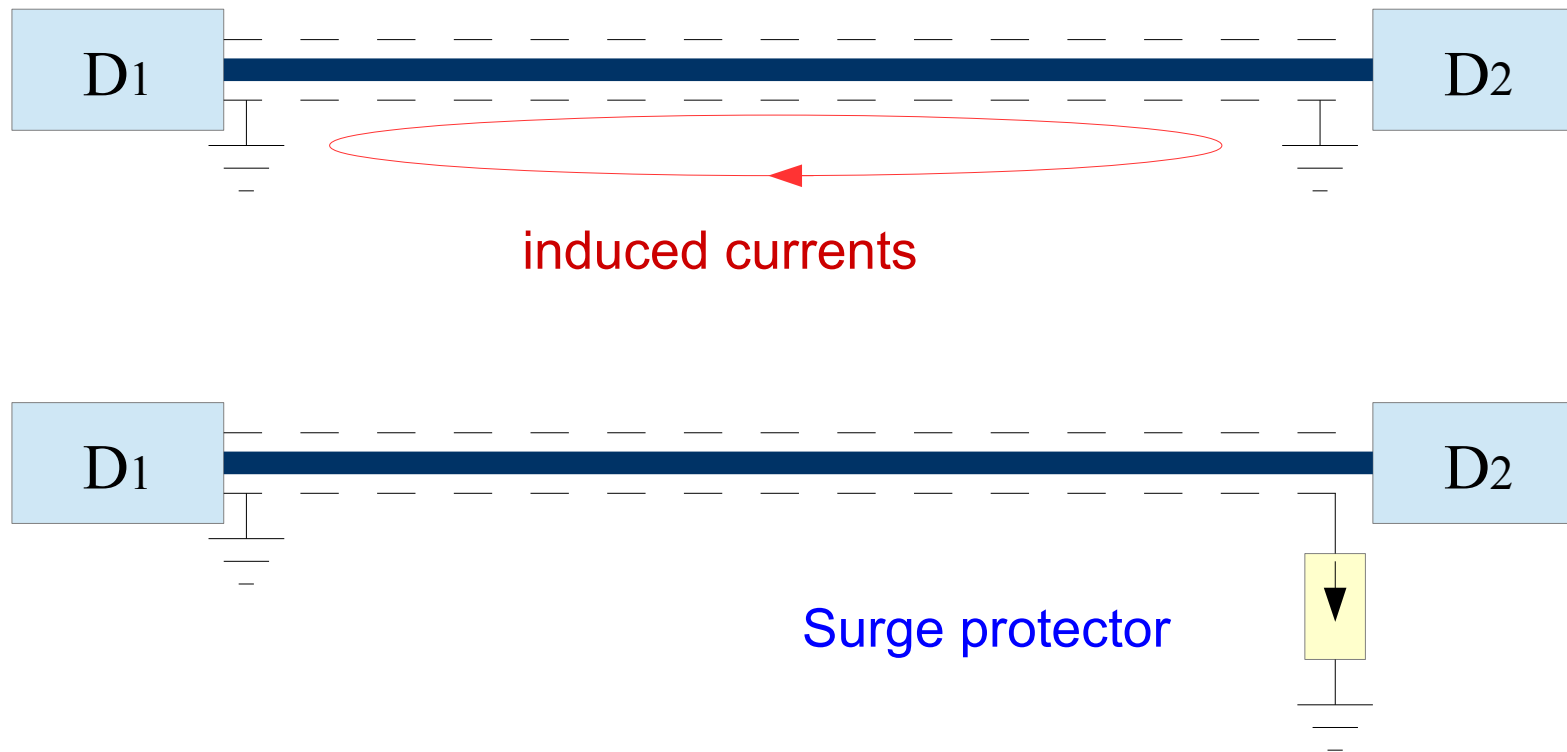


# Networks

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Physical channel: **copper cables**

*Overvoltages and/or parasitic currents on the shield?*



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# Networks

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Physical channel: **guided waves**

**Coaxial cable:** **Thin** type for relatively long connections with lot of nodes; **Thick** type for short connection between nearby nodes.

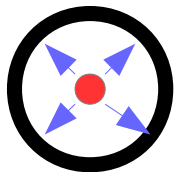
**Optical fiber:** Modern optical communication networks are equipped with optical splitters, amplifiers and joints such that, usually, the opto-electronic conversion is present at the end side only. It allows for long distance transmissions but it is costly and not easy to expand.

# Networks

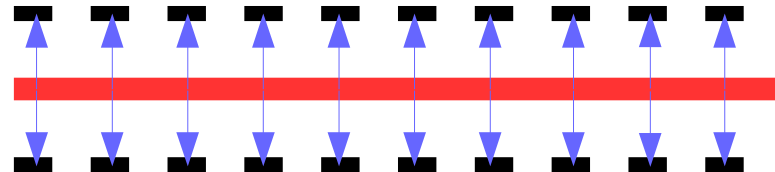
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Physical channel: **guided waves**

**Coaxial cable:** it is constituted by two copper concentric string and tube.  
The electromagnetic field is confine into the cylindrical gap



Electromagnetic field

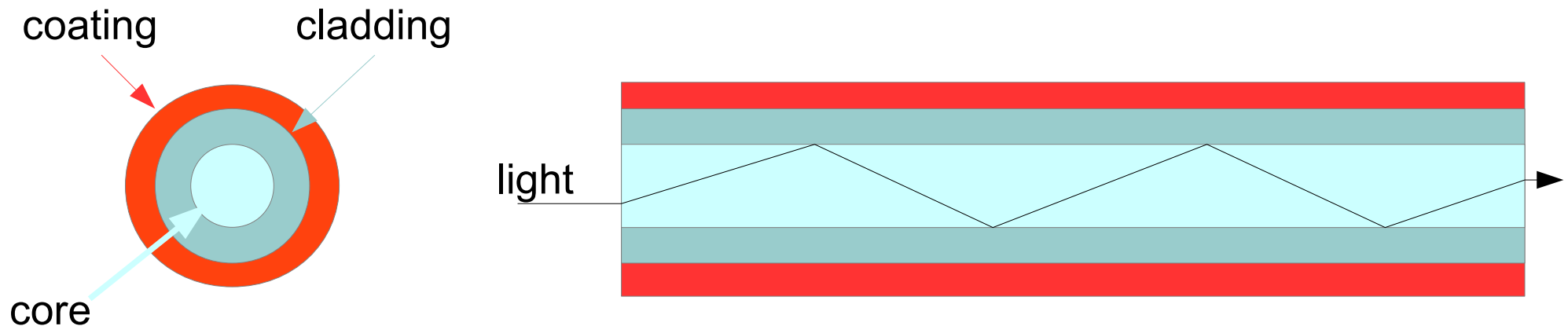


# Networks

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Physical channel: **guided waves**

**Optical fiber:** the light is confined inside a glass wave guide because of different refraction index between core and cladding.



# Networks

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Physical channel: **electromagnetic waves**

Wireless transmission at prescribed frequencies

**It is difficult** to guarantee the connection in industrial environment because of the presence of iron structures and electromagnetic fields

**Possibility** of electrical interferences and loss of connection

**It is simple** to implement in existing structures and sites

**Various protocols available:** Bluetooth, ZigBee, Wi-Fi, etc

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# Networks

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## Criteria for choosing the physical channel

distance between nodes – voltage drops

environment – electromagnetic compatibility and disturbances

amount of data to transmit - bandwidth

expansion perspectives – is it easy to connect a new node?

**Most used:** twisted pair, standard RS 485

**Tendency:** optical fiber, wireless

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# Networks

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## Topology



## Bus

Each node is connected to the bus by means of a short drop cable. At the bus ends there are impedance adaptors such that the electromagnetic wave reflection is avoided, or limited at least.

- New nodes are easily connected
- Simple installation
- Full reliability for faults at nodes and limited problems at the first fault
- Limited length of the bus

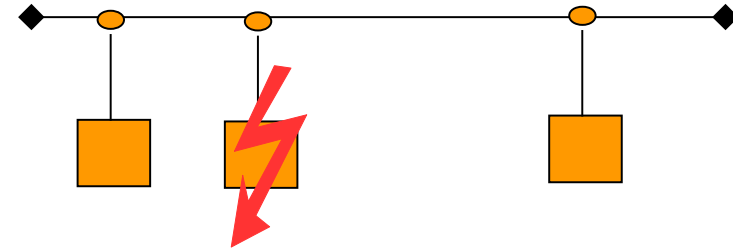
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# Networks

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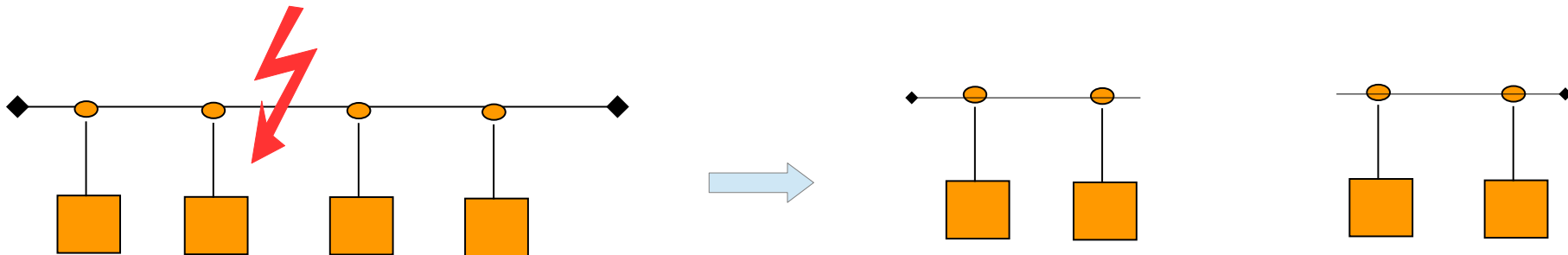
## Topology

## Bus



Each node is connected to the bus by means of a short drop cable. At the bus ends there are impedance adaptors such that the electromagnetic wave reflection is avoided, or limited at least.

- Full reliability for faults at nodes and limited problems at the first fault



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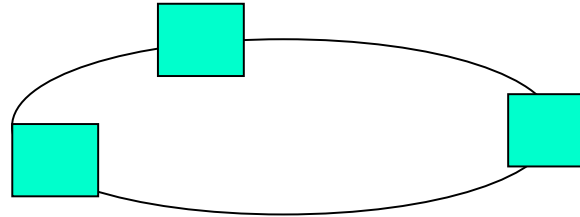


# Networks

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## Topology

### Daisy- chain ring



The nodes are connected by a bus in a circular way. Each node receives and retransmits the signal on the bus.

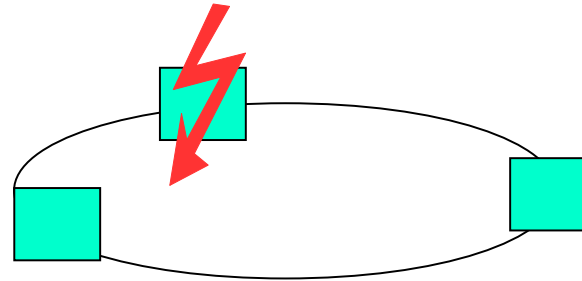
- New nodes are quite easily connected
- Connecting a new node implies the bus opening during installation
- Full reliability at first fault
- Bus length depending on the number of nodes

# Networks

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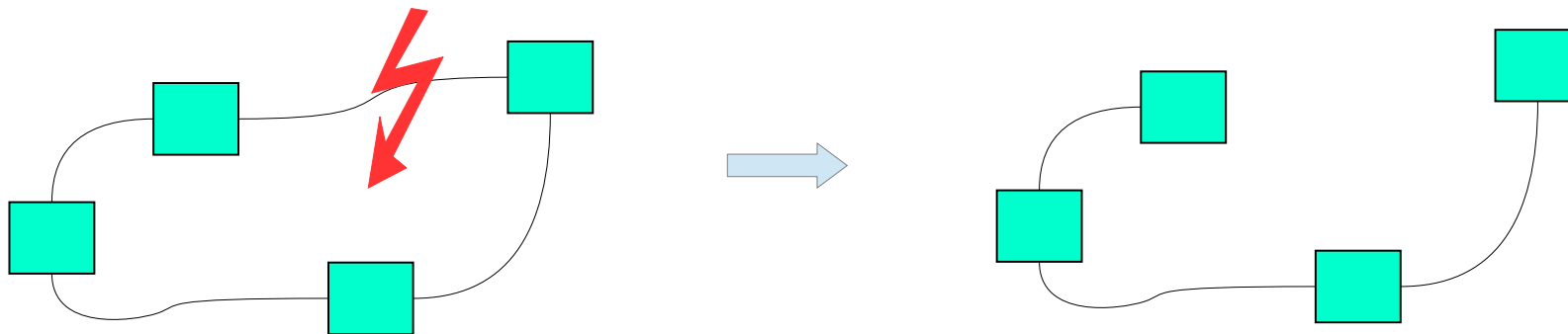
## Topology

### Daisy-chain ring



The nodes are connected by a bus in a circular way. Each node receives and retransmits the signal on the bus.

- Full reliability at first fault apart from fault on device



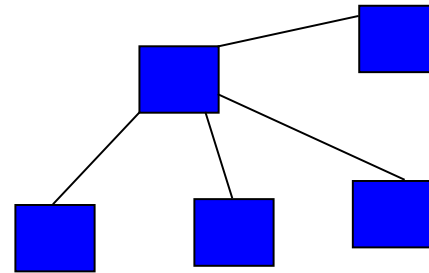
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# Networks

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## Topology

### Star



All nodes are connected to the same central node that is also the gateway of the LAN to the external network.

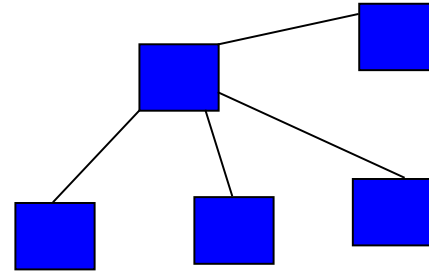
- New nodes are quite easily connected
- Installation can be expensive for more than 4 nodes
- Full reliability for faults at nodes
- Critical fault at the central node

# Networks

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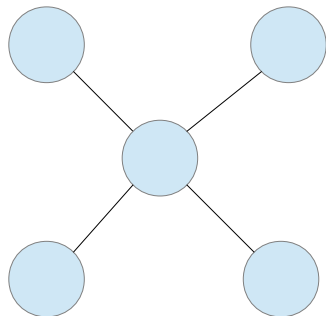
## Topology

### Star



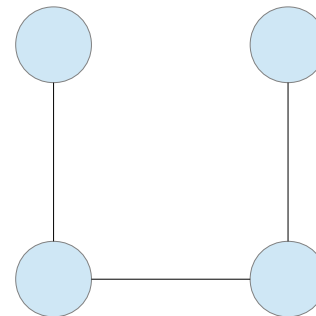
All nodes are connected to the same central node that is also the gateway of the LAN to the external network.

- Installation can be expensive for more than 3/4 nodes



$$L = 2\sqrt{2}l$$

5 devices



$$L = 3l$$

4 devices

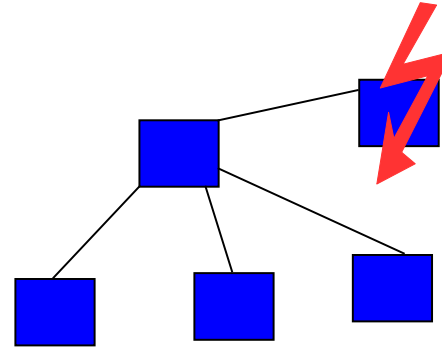
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# Networks

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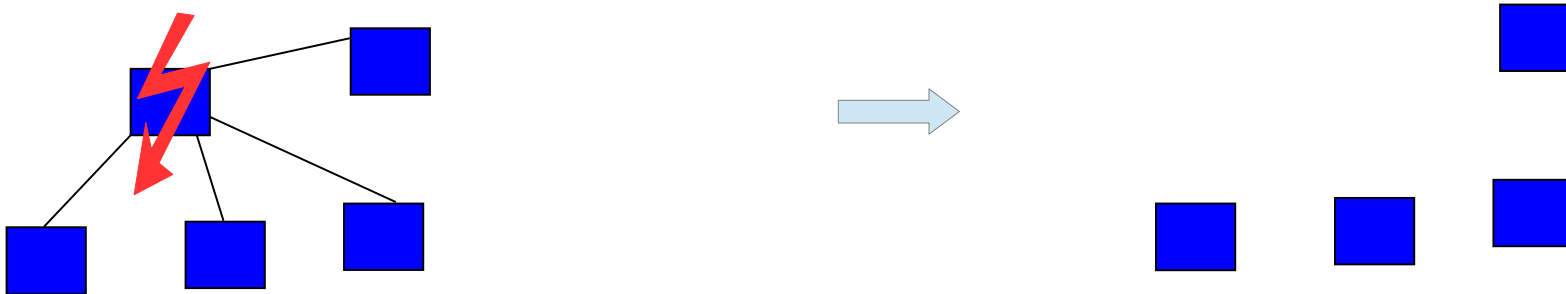
## Topology

## Star



All nodes are connected to the same central node that is also the gateway of the LAN to the external network.

- Full reliability for faults at nodes
- Critical fault at the central node



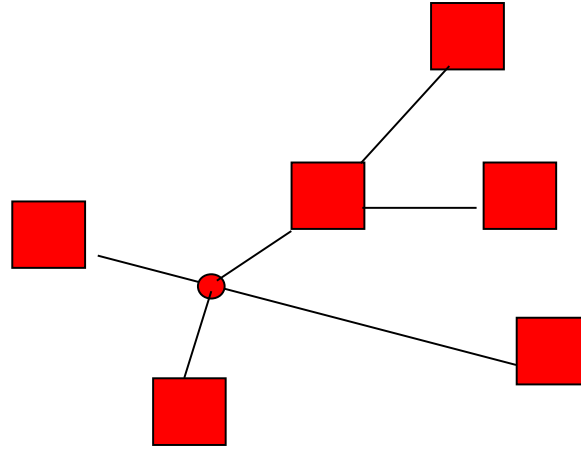
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# Networks

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## Topology

## Tree



It is a complex topology that combines two or more elementary topologies.

- New nodes are quite easily connected
- Simple installation
- Reliability

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# Networks

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## Criteria for choosing the topology

distance between nodes – installation expenses

environment – robustness with respect to faults

amount of data to transmit – max number of connected nodes

expansion perspectives - is it easy to connect a new node?

**Most used:** bus

**Tendenza:** tree

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# Networks

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## **Cannel management**

**byToken:** information transmission is defined and managed by means of a special code (TOKEN). The devices that got the Token can transmit the information within a pre-defined maximum time interval; once the allowed time has expired the code must be sent to another device.

It is a **deterministic network**

**Token bus - IEEE 802.4:** implemented on a bus topology with a twisted-pair of coaxial cable; the token passing is define by a prescribed sequence

**Token ring - IEEE 802.5:** the implementation is as for the Token bus but the token passing is defined by the sequential position on the physical ring

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# Networks

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## Determinism:

In a **deterministic network** the **maximum time interval** between two subsequent communication from the same device **is defined**

In a **not deterministic network** the **maximum time interval** between two subsequent communication from the same device **is not assured, i.e., some information could not be sent**

In a network, the **maximum time interval** between sending depends on:

- Bandwidth of the network
- Number of devices connected to the network
- Speed of the I/O boards on each device
- Network protocol, i.e., limitation on the message length

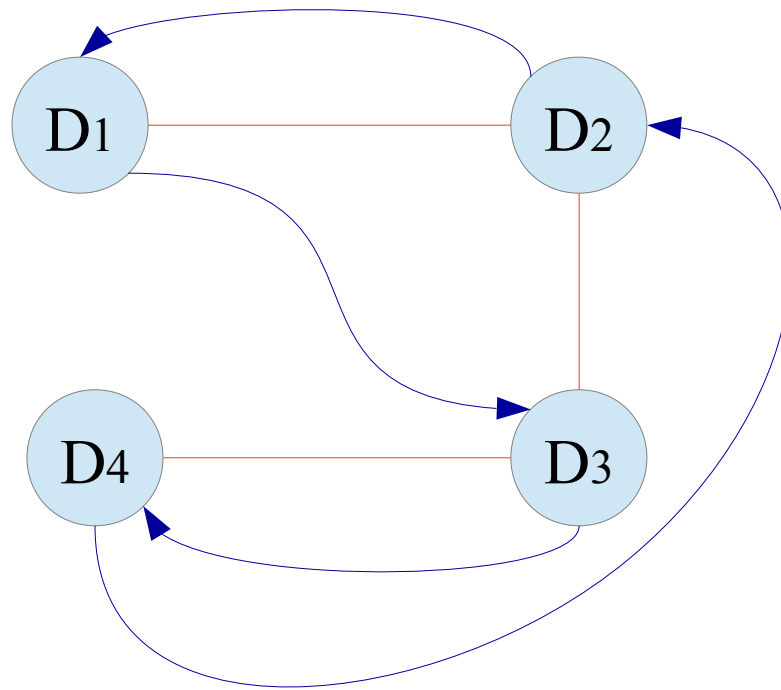
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# Networks

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## Channel management

byToken bus:



The path of the token is circular

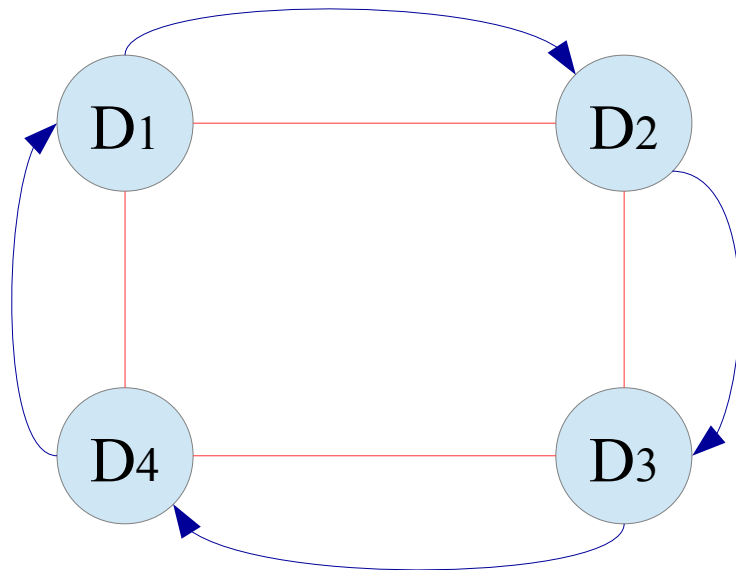
The sequence of the token passing does not reflect the **network connection**

# Networks

---

## Channel management

byToken ring:



The path of the token is circular

The sequence of the token passing reflects the **network connection**

# Networks

---

## Management

**Master-Slave:** there is a main node (the master) that gives the permission to transmit to each secondary node (slave).

It is a **deterministic network**

- Can be integrated with other criteria (e.g., Token)
- Priorities should be carefully handled
- It has a centralised nature that implies not very high transmission speeds
- Easy to implement and manage/update

# Networks

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## Management

### Master-Slave:



One device, the MASTER, fully manage the communication of the other devices, SLAVES, connected to the network

**Centralised  
management**

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# Networks

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## Management

**Publisher-subscriber:** a network manager enrols each device to a group to read and send specific data. All members of the group can read the data sent by the members (similarly to a social network)

It is a **deterministic network**

- It does not allow for a simple management of priorities
- It has a centralised nature that implies not very high transmission speeds
- Quite complex to implement and manage/update

# Networks

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## Management

**Multiple access:** all connected devices can transmit and receive data independently. If two or more device access the channel at the time a collision occurs and it has to be managed

**MACS-CD (multiple access carrier sense-collision detection):** when a collision occurs a random time delay is assigned to each involved device. It cannot transmit data during such a time delay.

**MACS-BA (multiple access carrier sense-bit arbitration):** when a collision occurs the data are compared bit-by-bit and only the data with highest rank is transmitted

It is a **not deterministic network**

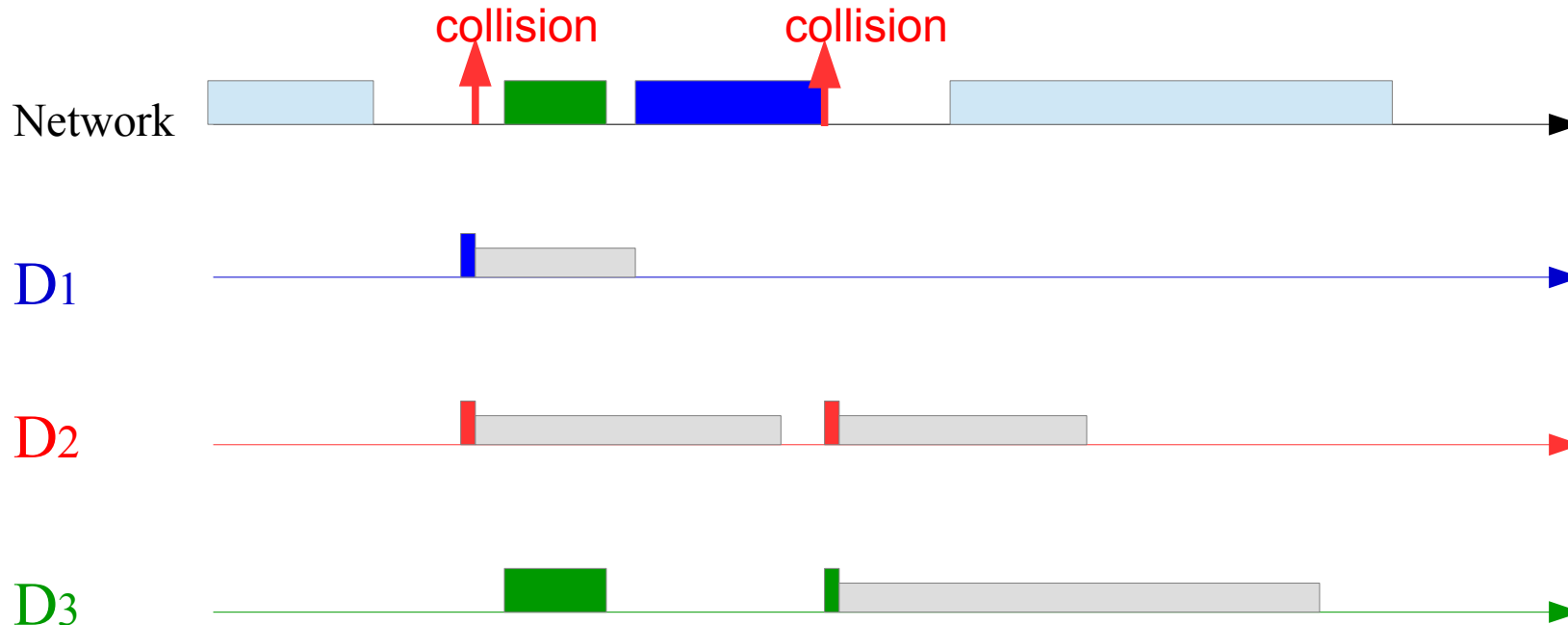
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# Networks

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## Management

MACS-CD (multiple access carrier sense-collision detection): it could happen that a device (*D2 in the example*) is not allowed to send messages.



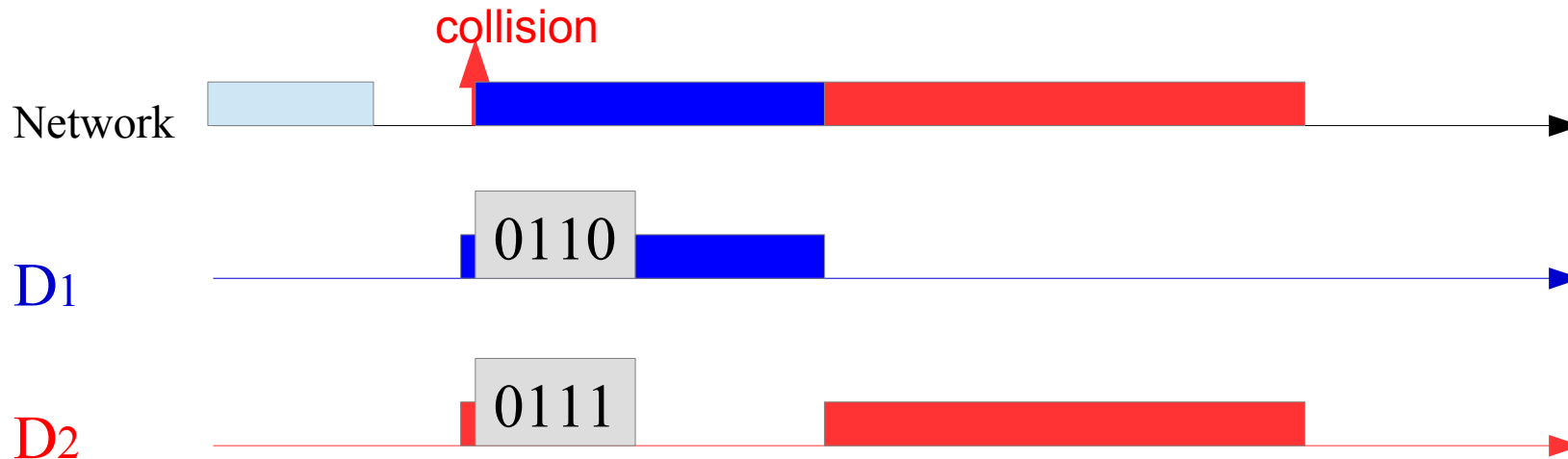


# Networks

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## Management

**MACS-BA (multiple access carrier sense-bit arbitration):** it could happen that a device (*D2 in the example*) is not allowed to send the message, but the other colliding one (*D1 in the example*) transmits, and there is no inhibition time period.



# Networks

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## Criteria for choosing the protocol

Critical data flow – **determinism**

Quality of the datum – **priority management**

Amount of data to transmit – **max number of connected nodes**

Expandability – **is it easy to allow new nodes to be connected?**

**Most used:** Token ring/bus, Master-Slave

**Tendency:** Industrial Ethernet

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# Networks

caratteristiche	Profibus DP	Interbus S	Modbus +	Foundation Fieldbus	ControlNet	DeviceNet	Ethernet
Data Rate b/s	9.6kb/s – 12Mb/s	500kb/s	1Mb/s	31.25kb/s	5Mb/s	125 -250 - 500kb/s	10Mb/s – 10Gb/s
Tecnica di Comunicazione	Mono - Multi Mast. Slaves	Master Slave	Mono - Multi Mast. Slaves	Mono - MultiMas t. Slaves	Produtt. Cons .	Produtt. Cons.	Dipende
Metodo d' Accesso	Token + M/S	M/S	Token	Token	CTDMA	CSMA/ NBA	CSMA/ CD
Tipo di mezzo	Twisted pair Fibre ott.	Twisted pair	Twisted pair Fibre ott.	Twisted pair Fibre ott.	Coax Fibre ott.	Twisted pair e altri	vari
Nodi Max	126	512	64	32	99	64	dipende
Determinismo	si	si	si	si	si	no	no
Bus Powered	no	no	no	si	no	si	no
Topologia	lineare	anello	lineare	Lineare albero	Lineare Stella albero	lineare	varie
Segmenti Max	1200x7rip. 9600m	13km	450x3rip 1800m 3km con F.O.	1900m	5km	500m	dipende
Standard Physical Layer	EN50254 IEC61158	EN50254 IEC61158	PROPR.	EN50170 IEC61158	EN50170 IEC61158	EN50325 IEC62026	IEEE802

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# Networks

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## Industrial Ethernet

### Pros

- High data-rate: up to 1 Gbit/s with Gigabit Ethernet and Cat5e/Cat6 cables or optical fibers
- Increased distance between devices
- Standard devices are needed: switch, router, printer, etc
- Several nodes can be connected to the same branch
- Peer-to-peer architectures can be implemented
- Better interoperability

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# Networks

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## Industrial Ethernet

### Cons

- Existing control networks should be updated
- Strong limits for the implementation of Real-Time applications with the TCP/IP protocol
- More difficulty in managing of the TCP/IP packets with respect to serial data
- Increased dimension of of the packets (64 bytes) with respect to those in the standard control networks (1÷8 bytes)
- Possible large latency of the data (time delays)

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# Networks

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## Industrial Ethernet

### Characteristics of possible internet networks configuration

- **TCP/IP**: to send not critical data and to control plants with time constants not less than 100 ms
- **Real-Time**: I/O applications with elaboration time up to 10 ms
- **Isochronous Real-Time**: control applications for devices with time constants less than 1 ms (electrical drive)

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# Networks

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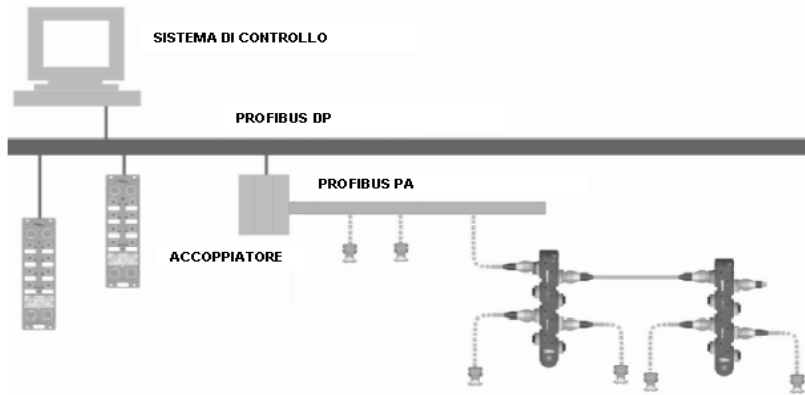
## Industrial Ethernet

### Available Protocols

- **EtherCAT**
- **EtherNet/IP**
- **PROFINET**
- **POWERLINK**
- **SERCOS III**
- **CC-Link IE**
- **Modbus/TCP**

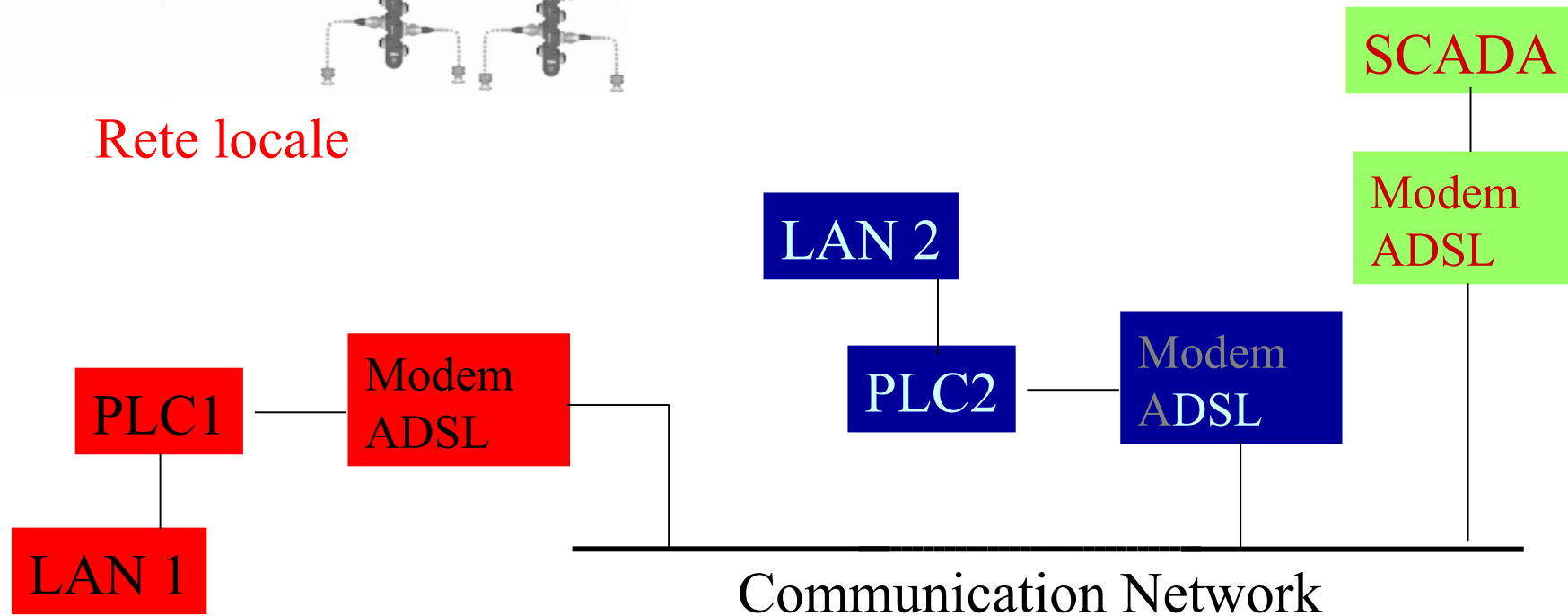
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# Networks



Rete locale

## Far away plants



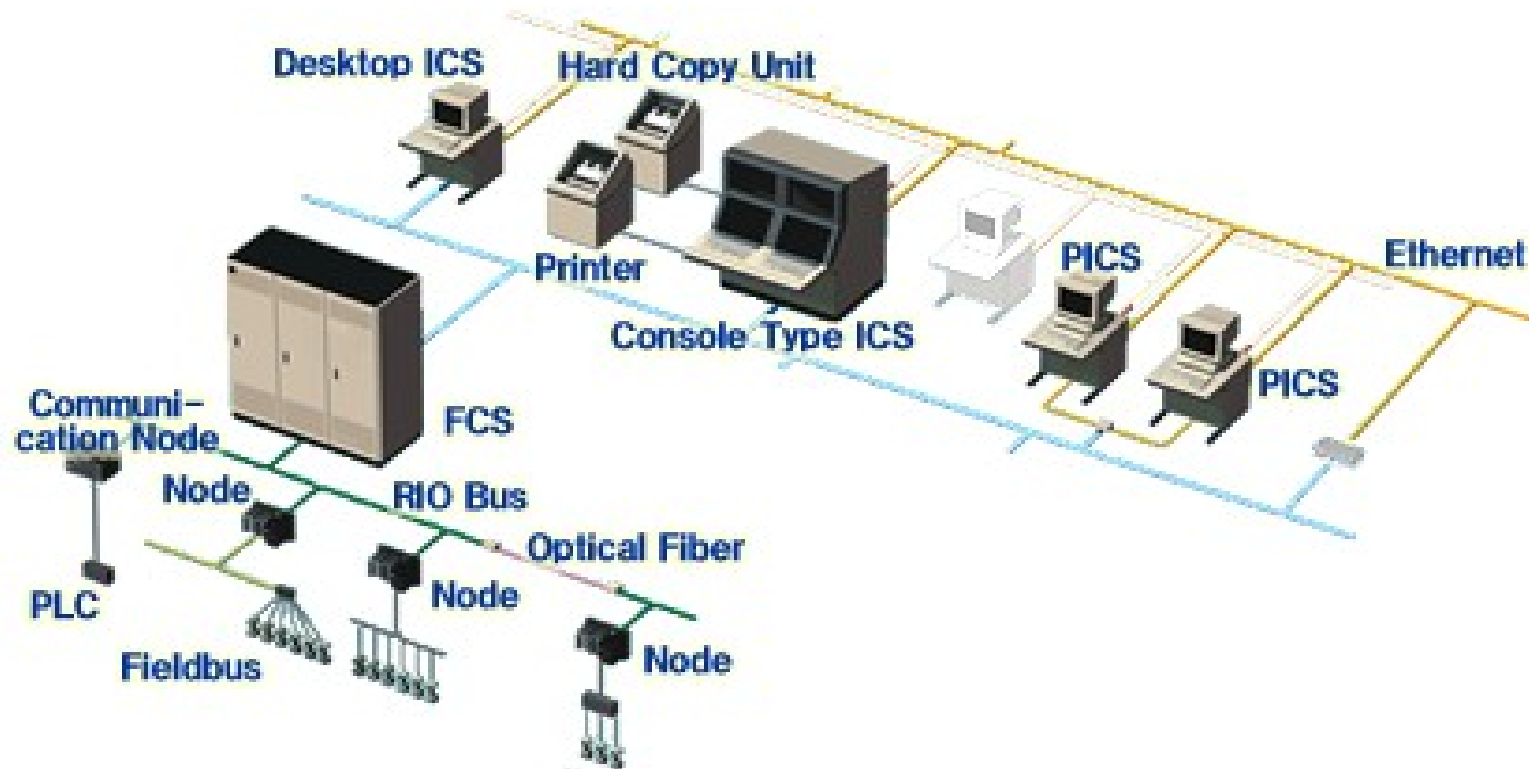
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# Networks

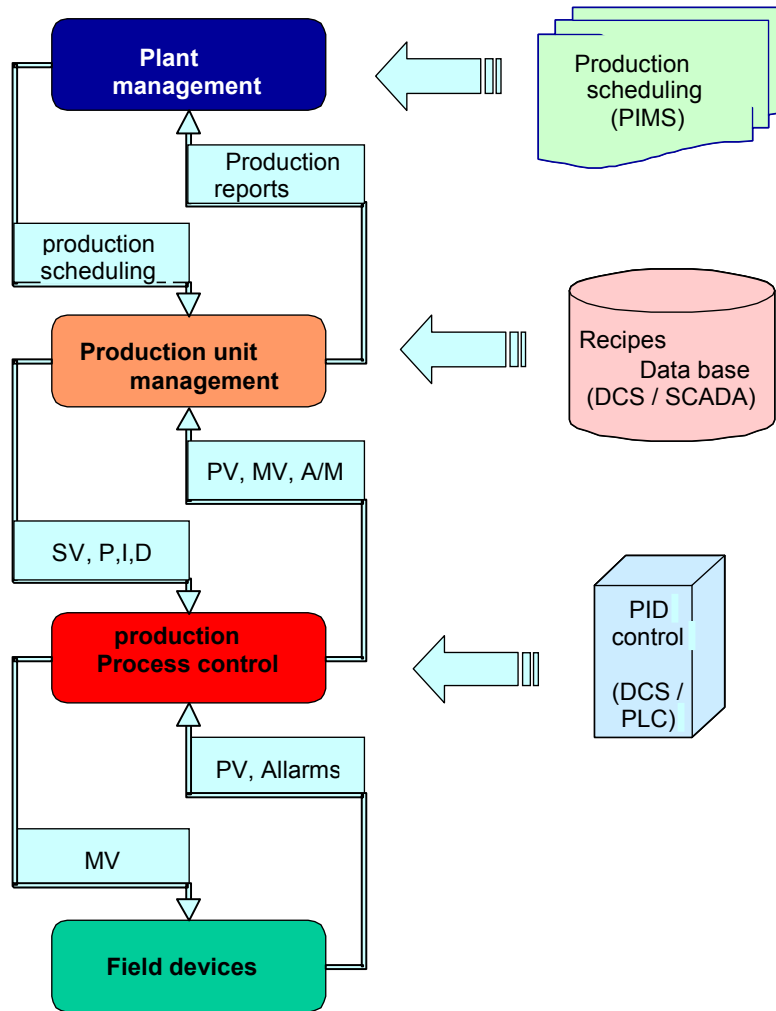
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## Nearby plants



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# System Integration

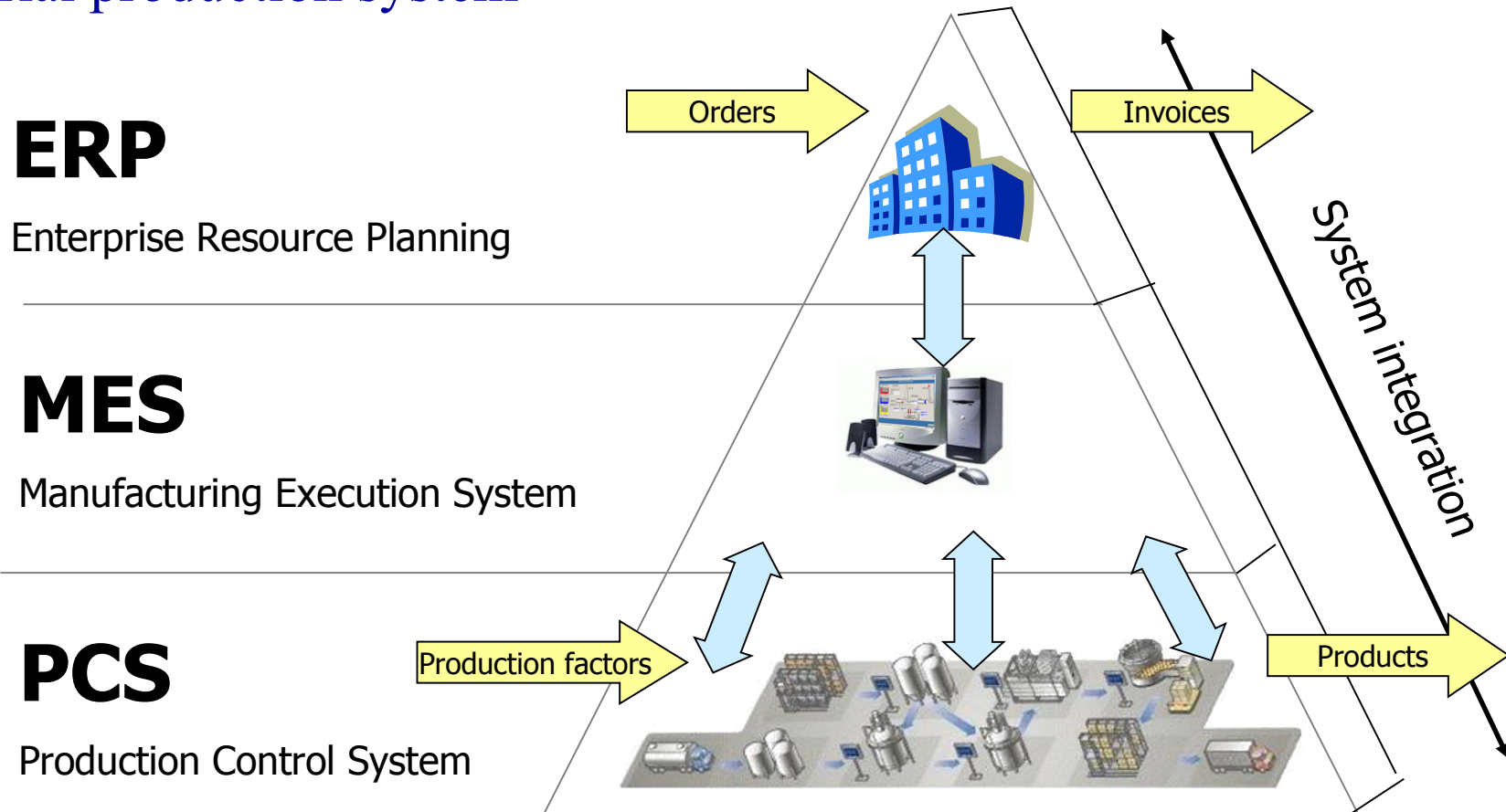


Information flow in  
production processes

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# System Integration

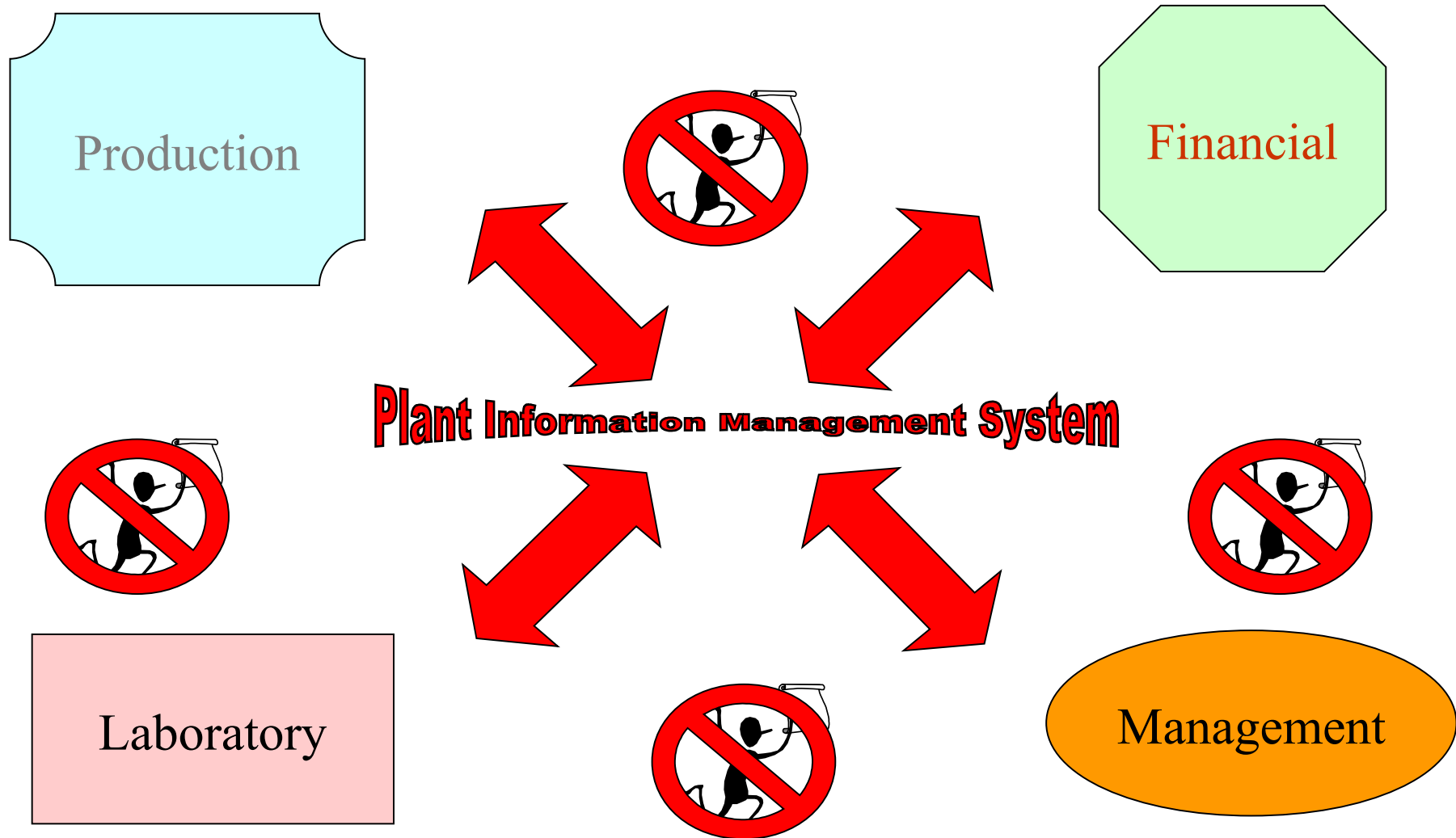
Communication flow in an industrial production system



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# System Integration – I.M.S.

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# System Integration – I.M.S.

Remote supervision

Working instructions

Alarm analysis

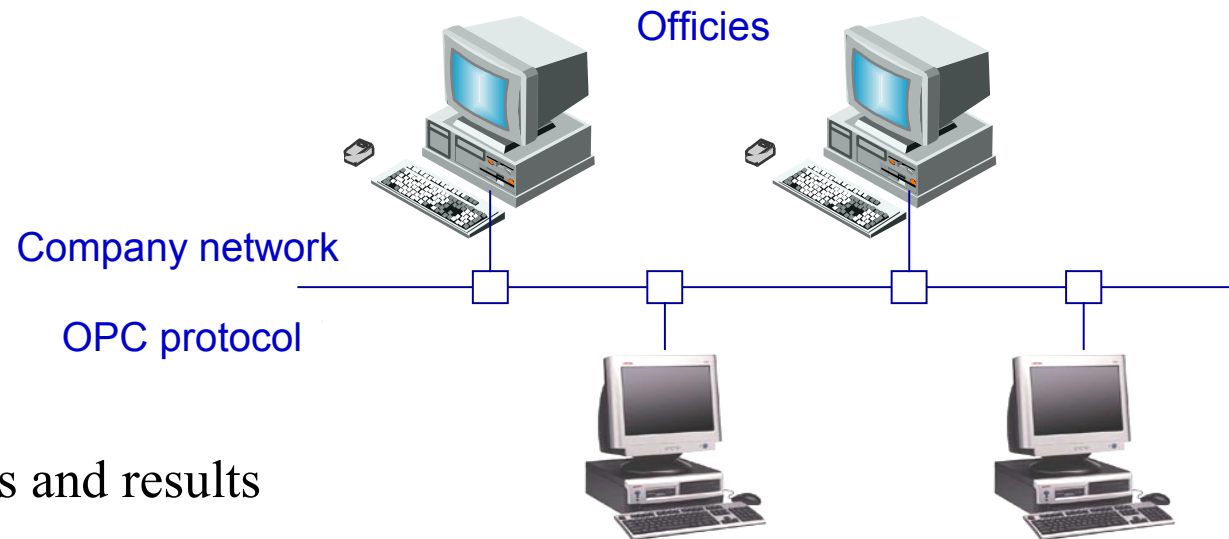
Correlations between actions and results

Design and supervision of control loops

Data storage and reading

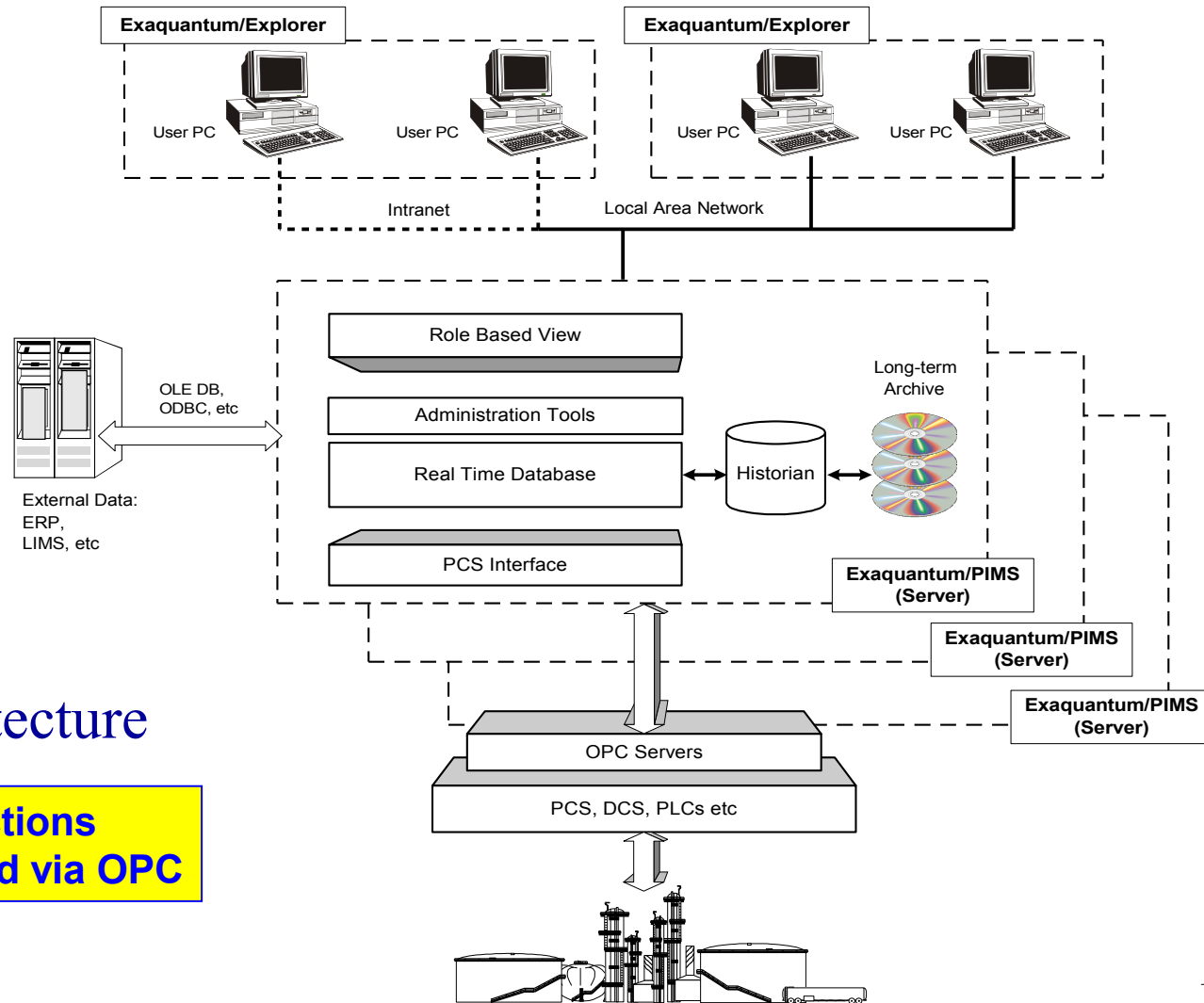
Analysis and re-design (parameters checking and identification, mass and energy balance energia)

On-line economic evaluatio of the production results



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# System Integration – I.M.S.

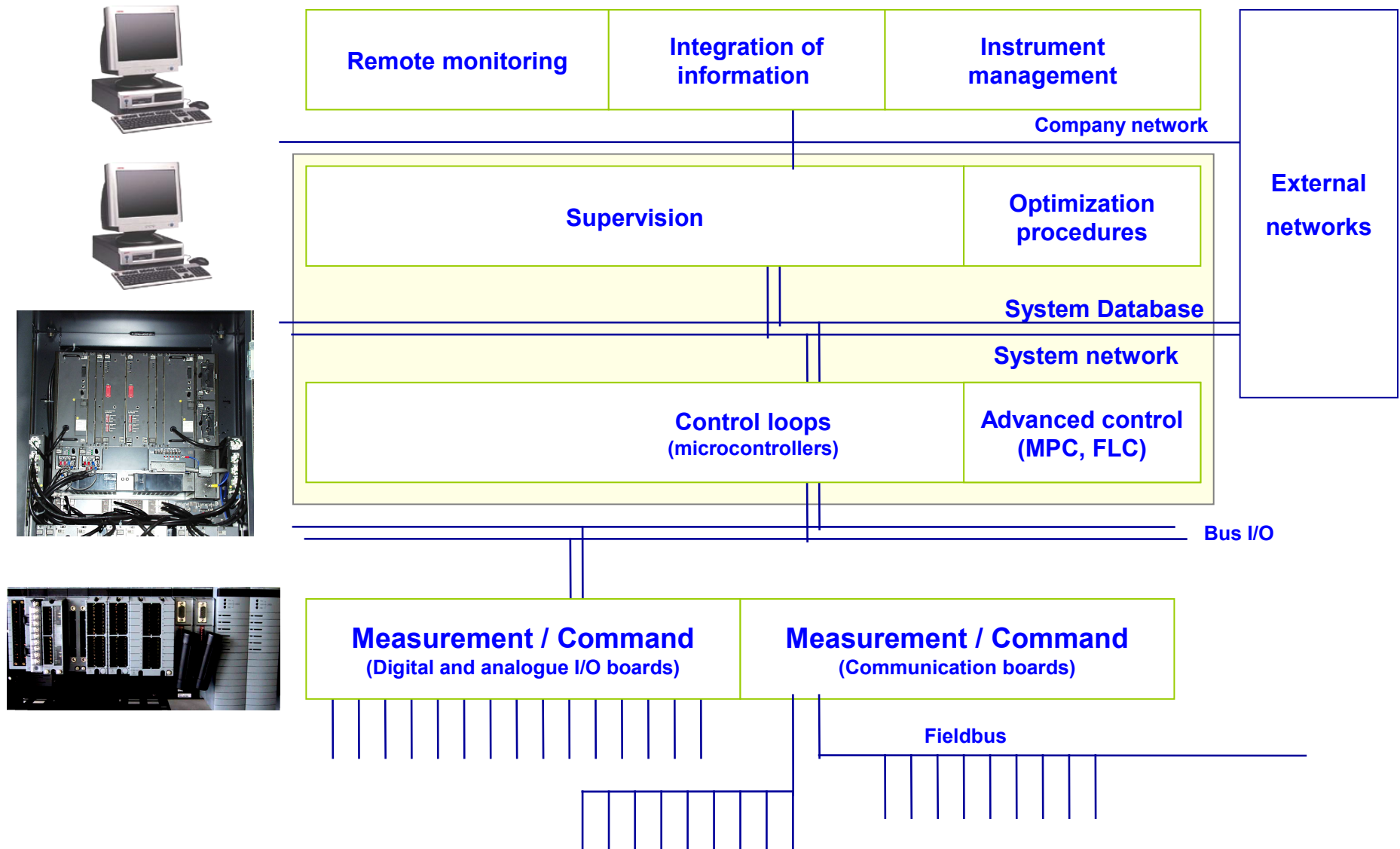


## Plant IMS architecture

- ◆ Client/Server connections
- ◆ RTDB SQL is updated via OPC

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# System Integration – I.M.S.



Systems for Supervision and Control - Integrating ICS

# System Integration – I.M.S.

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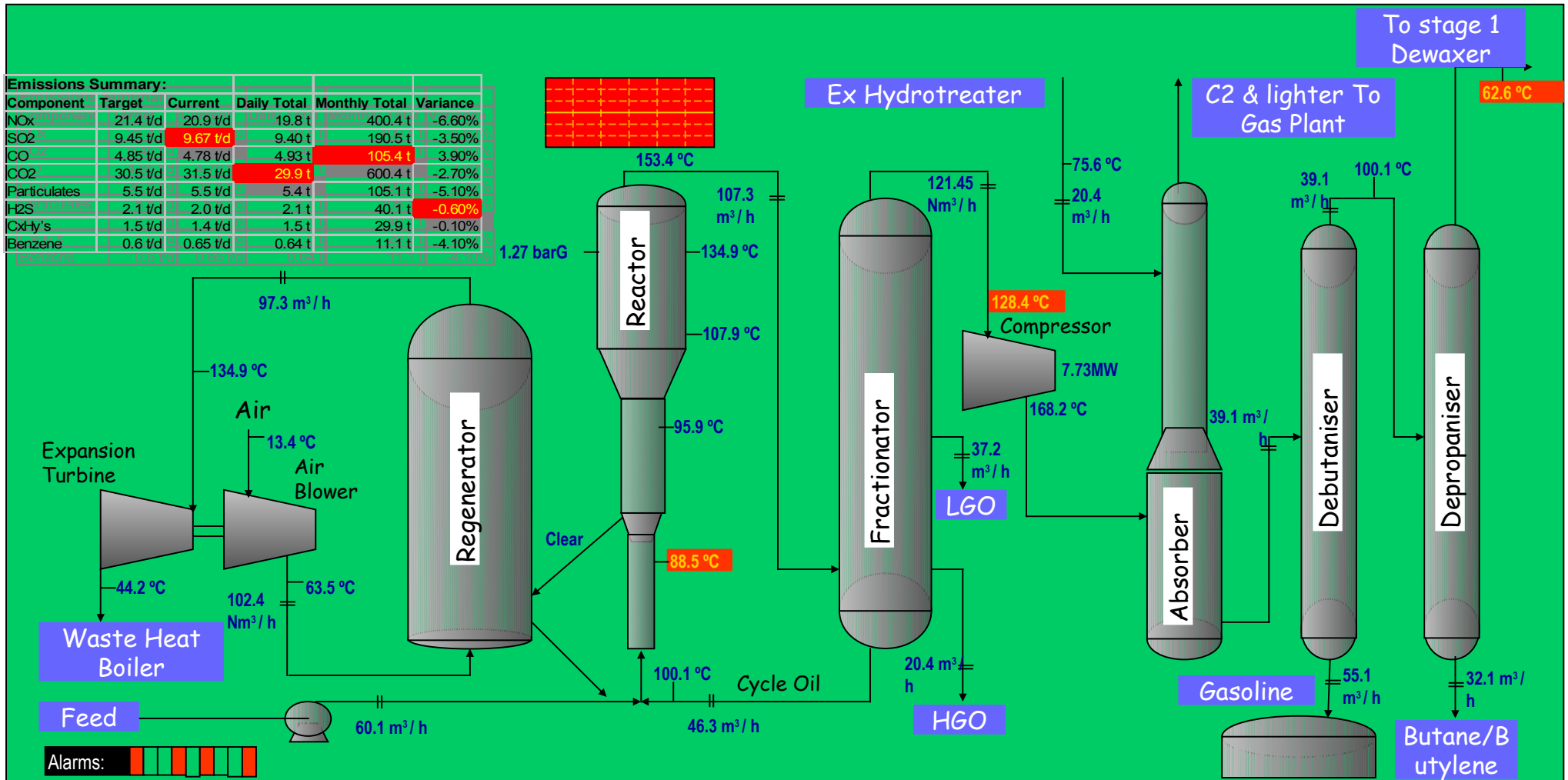
- **Real time update of the Data-Base**
  - Automatic data synchronisation between DCS and Data-Base
  - No manual update of the data-base
- **More available data for analysis**
  - All data are directly acquired from the field sensors
- **Presentation via web-browser**
  - Simple generation of drawings from the DCS
- **Automatic reporting**
  - Excel interface are available
  - Automatic reporting directly from process measurements
- **Design based on a Windows platform**
  - Interfaces to SQL, Excel are available as well as Drag&Drop
  - Open standard (VB, ActiveX DCOM, SQL)
- **The data are not compressed**
  - Less data corruption
- **Direct connection between production and management**
  - The system can be connected to SAP

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# System Integration – I.M.S.

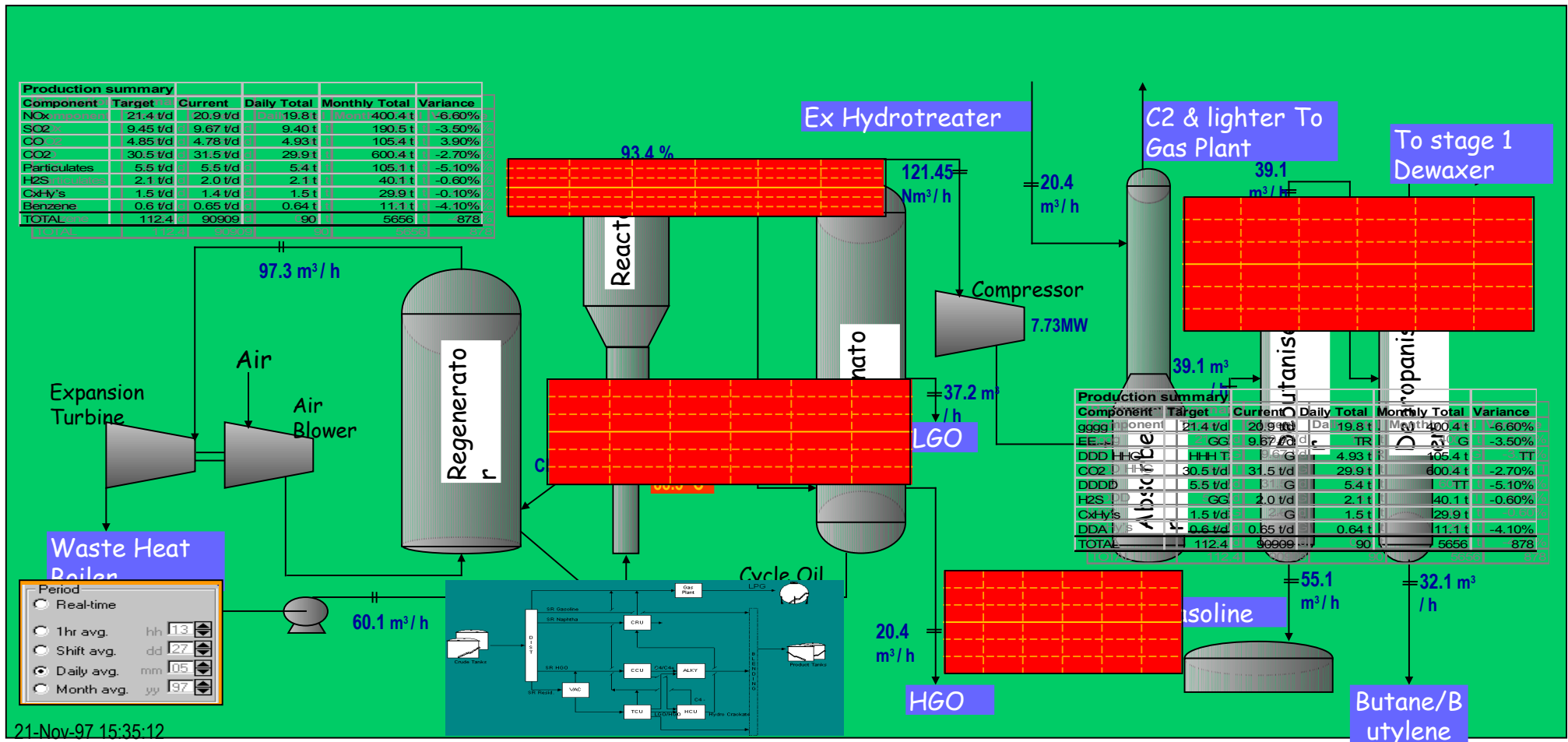
Operator's view of the plant at monitor



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# System Integration – I.M.S.

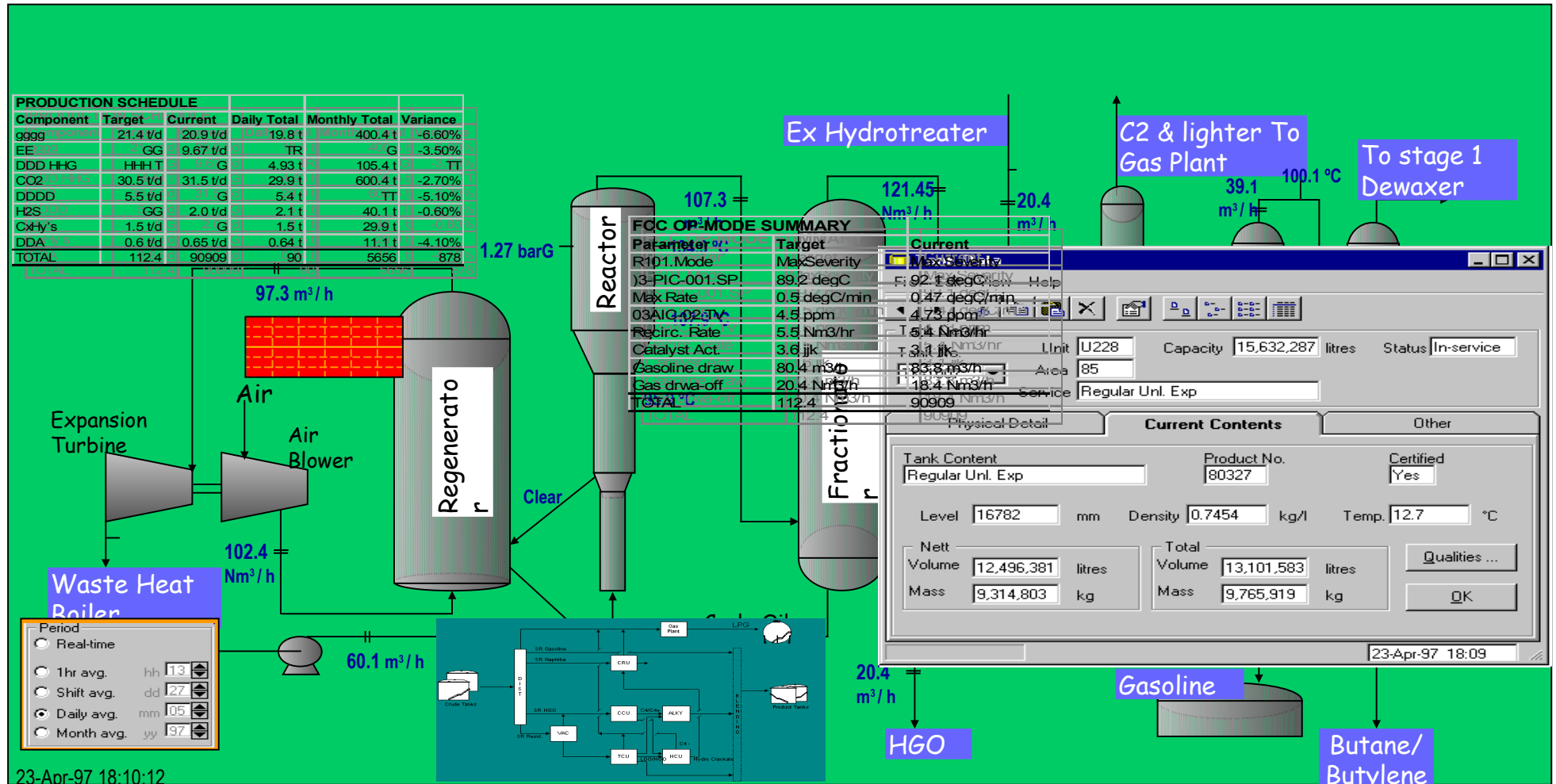
Plant manager's view at desk



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# System Integration – I.M.S.

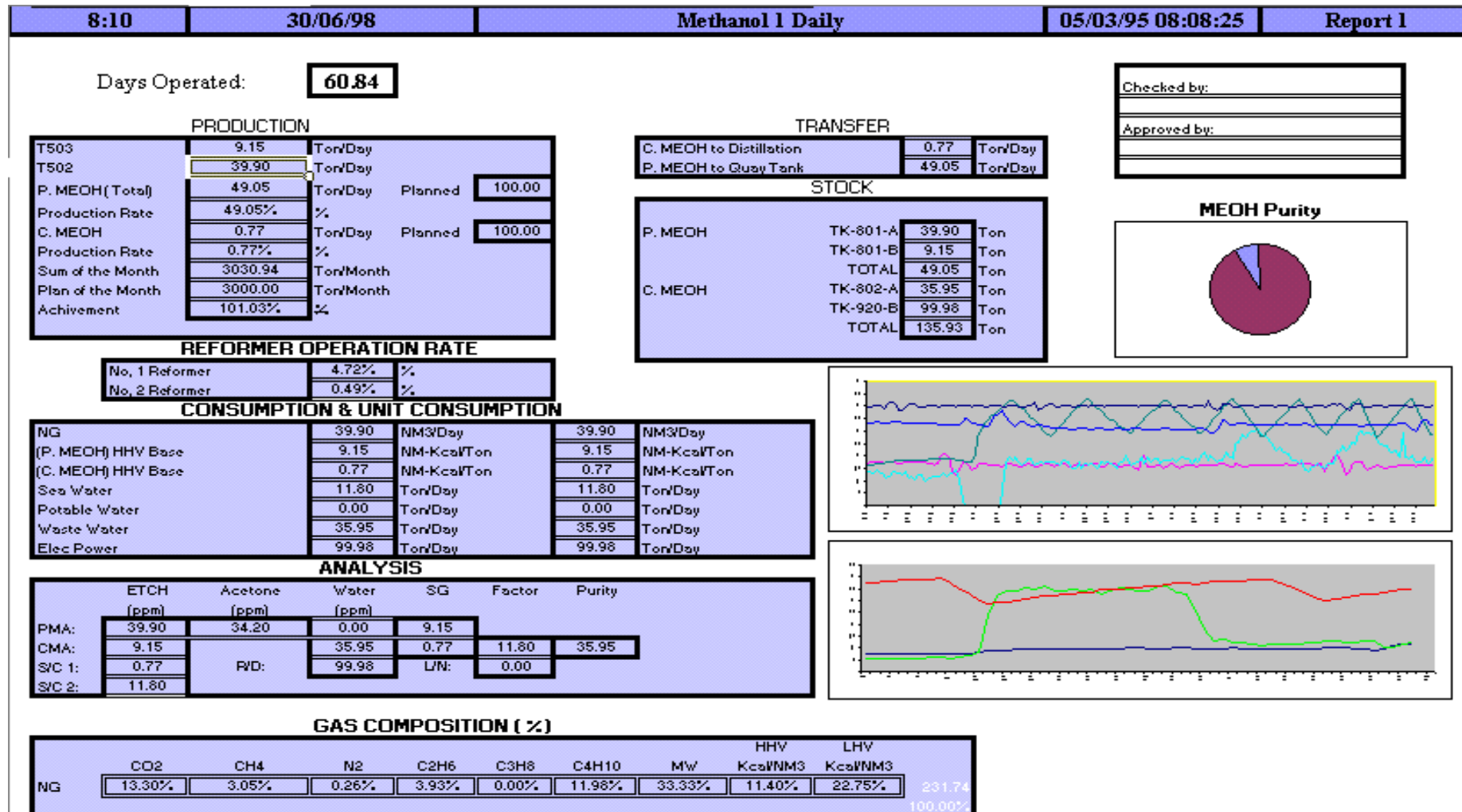
Manager's view of the plant at office



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# System Integration – I.M.S.

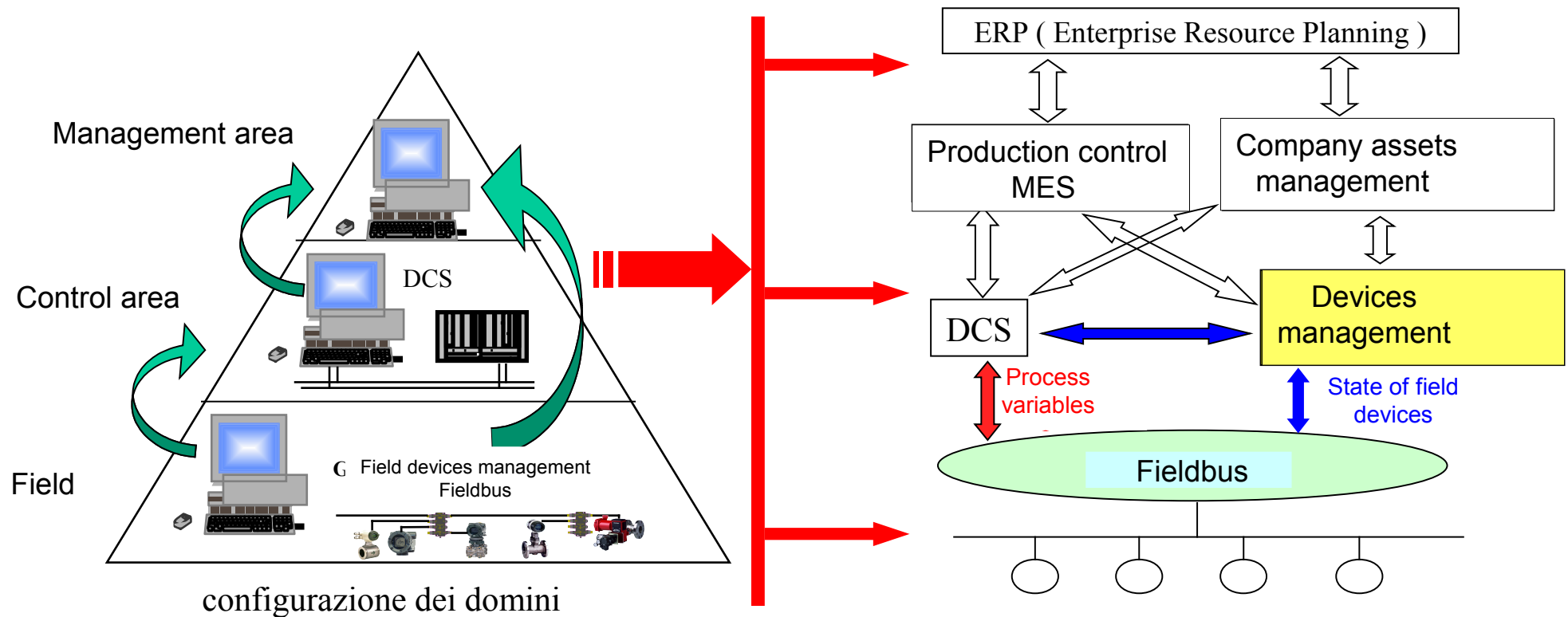
Example of a periodic report directly created by Excel



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# Plant Resource Manager (PRM)

The maintenance of the field devices can be integrated in the factory management system by means of a direct connection to the field



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# System Integration – P.R.M.

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Such a system allows for some facilities:

- Fault diagnosis
- Parameter tuning of the measurement devices
- Maintenance scheduling

Diagnosis involves several devices and components:

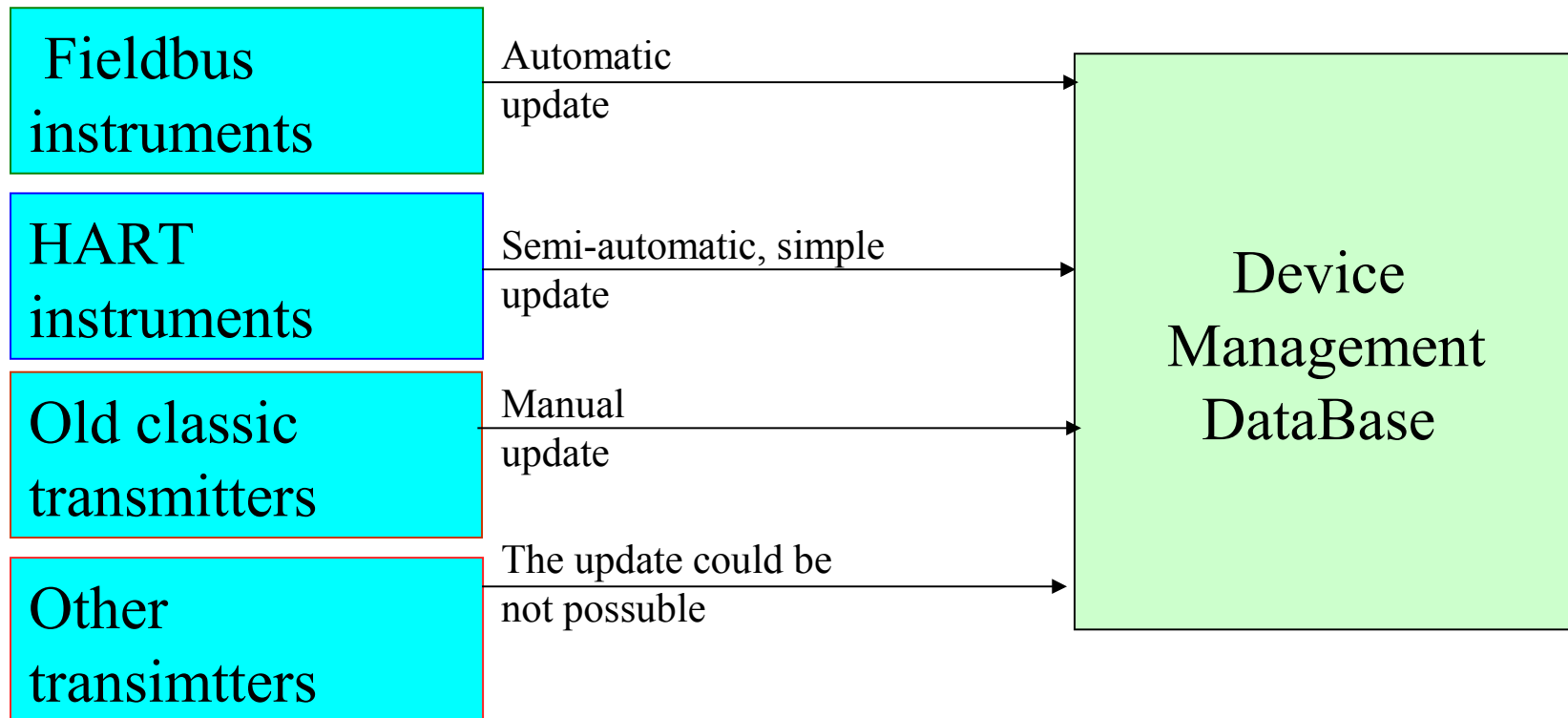
- communication networks
- I/O boards and microprocessors
- sensors
- actuators and equipments

The **P**lant **R**esource **M**anager is an information system that integrates the management of all equipments with the factory/company management system

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# System Integration – P.R.M.

The effectiveness of the PRM depends mostly on the characteristics of the measurement devices



# System Integration – P.R.M.

The P.R.M. Data-Base. Contains all data and information that are useful and necessary to the management of the field devices :

**General information** - label , type, model, producer, serial number, ....

This info are useful for buying it as well as its spare parts

**Installation data** - range , span , firmware, ....

This info are needed for the tuning

**Historical data** - events, tuning, diagnosis , maintenance actions, ....

This info are useful for diagnosis

**Maintenance instructions** – medium time before fault (MTBF), maintenance cadence, spare parts, ....

This info are useful for maintenance management and scheduling

**Technical data and instructions** – minstallation and users manual, data sheet, ....

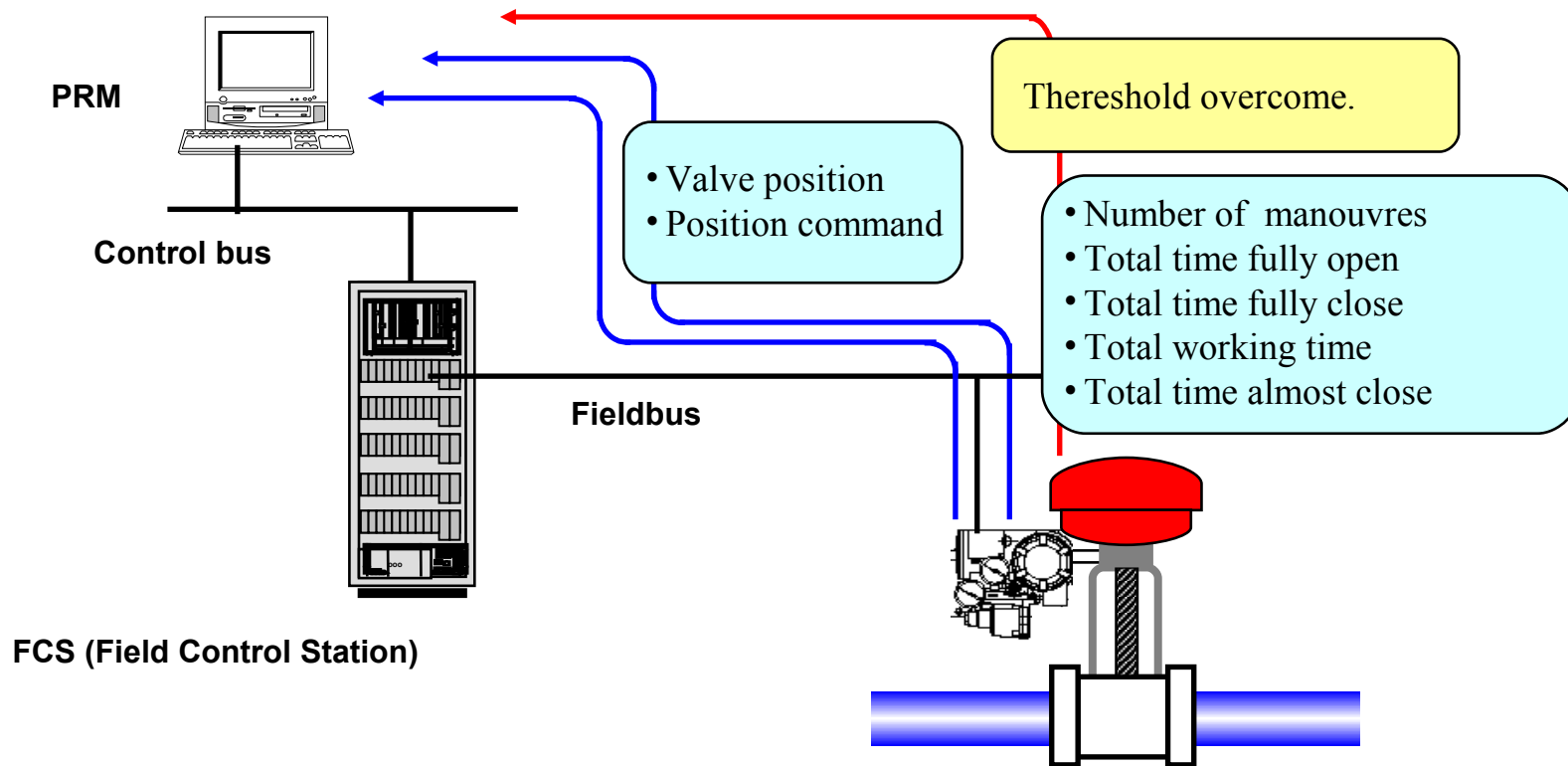
This data are needed usual management

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# System Integration – P.R.M.

- Example of a valve connected to the PRM
  - All variables and parameters considered as critical for maintenance are monitored



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# Manufactory Execution System ( M.E.S.)

M.E.S. is a complex **applicative software system**

- integrates different modules,
- aims to monitor and manage the enterprise production
- directly connected to plant I.M.S.
- mainly used by administrative offices
- can be connected to the E.R.P.

It is a **bridge between** the **production** and the **management** divisions of the enterprise

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# System Integration – M.E.S.

Main functions of M.E.S. are:

- collect data from the I.M.S.
- monitoring the state of advancement of the production
- management of the resources needed for production
- tracking of the product and of the production system
- monitor and manage logistic
- monitor the quality of production

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# Enterprise Resource Planning ( E.R.P. )

E.R.P. is a complex **applicative software system**

- integrates different modules
  - *industrial accounting*
  - *product design*
  - *asset management*
  - *management of human resources*
  - .....
- collect data from the I.M.S. and the M.E.S.
- register decisions and proposals

It is a **high level management tool** to monitor and manage a multi-divisional enterprise

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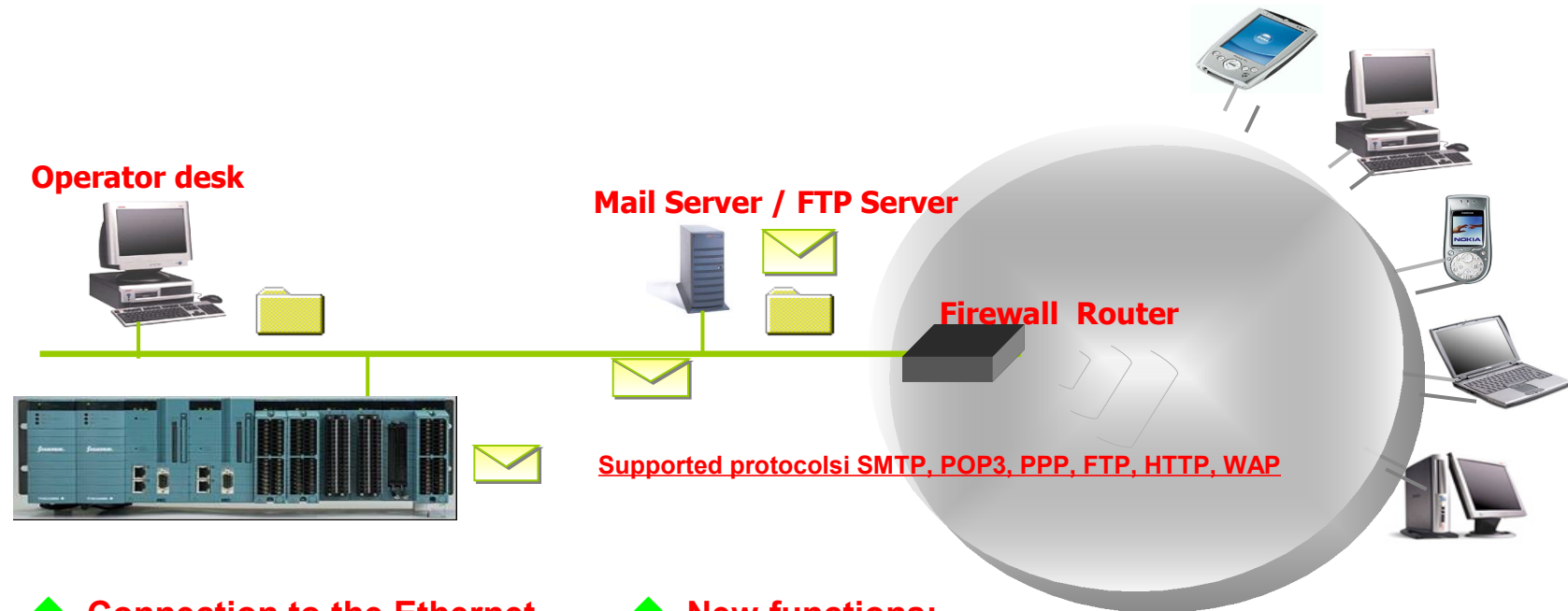
# System Integration – E.R.P.

Main functions of E.R.P. are:

- increase the efficiency
- decrease the economic risk
- increase the effectiveness and timeliness of management decisions
- increase the coordination of the enterprise divisions
- improve the management of resources, both human and material

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# Connecting to the www



◆ **Connection to the Ethernet by means of:**

- LAN/WAN
- VPN
- Telephon lines
- GSM
- Radio waves, satellite

◆ **New functions:**

- Web monitoring via Internet
- FTP
- e-mail
- SMS
- JAVA / HTML programming

Systems for Supervision and Control - Integrating ICS

# Security issues in I.C.S.

---

## Safety and Security in I.C.S.

**Safety:** the property of protecting from the effects of **accidental faults and failures** in the plant devices such that the **injuries to people** and the **damages to the plant** are limited at a certain level of **risk**.

**Security:** the property of protecting the system from **threats** by **external, or internal, actors** to cause **damns to the system** or give **unproper advantages** to the attacker, at a certain level of **risk**.

# Security issues in I.C.S.

---

## Safety and Security in I.C.S.

The level of both Safety and Security is a probabilistic variable, connected to the concept of **Risk**.

$$R_i = \sum_j c_j * d_{ji} * p_i$$

$R_i$  : risk associated to the  $i^{\text{th}}$  event

$p_i$  : probability that the  $i^{\text{th}}$  event occurs

$d_{ji}$  : probability that the  $j^{\text{th}}$  damage is caused by the  $i^{\text{th}}$  event

$c_j$  : cost associated to the  $j^{\text{th}}$  damage



# Security issues in I.C.S.

---

## Safety and Security in I.C.S.

The level of both Safety and Security is a probabilistic variable, connected to the concept of **Risk**.

$$R_i = \sum_j c_j * d_{ji} * p_i$$

To limit the risk it is needed to decrease the probabilities  $p_i$  and  $d_{ij}$  by a proper design of the system, possibly increasing the construction or production costs  $C_i$

$$\Delta R_i \text{ vs } \Delta C_i$$



# Security issues in I.C.S.

---

## Safety and Security in I.C.S.

### Safety:

The level of safety in an I.C.S. can be improved by properly design the process, the devices and the control system.

It is a **competence of process and control engineers.**

### Security:

The level of security in an I.C.S. can be improved by properly design the computer and the network systems.

It is a **competence of computer and communication engineers.**

# Security issues in I.C.S.

---

## Safety and Security in I.C.S.

### Safety:

The level of safety is guaranteed by using **redundance** and /or **increasing the strenght** of devices to physical stresses, e.g., over-voltages and over-pressures with respect to nominal values.

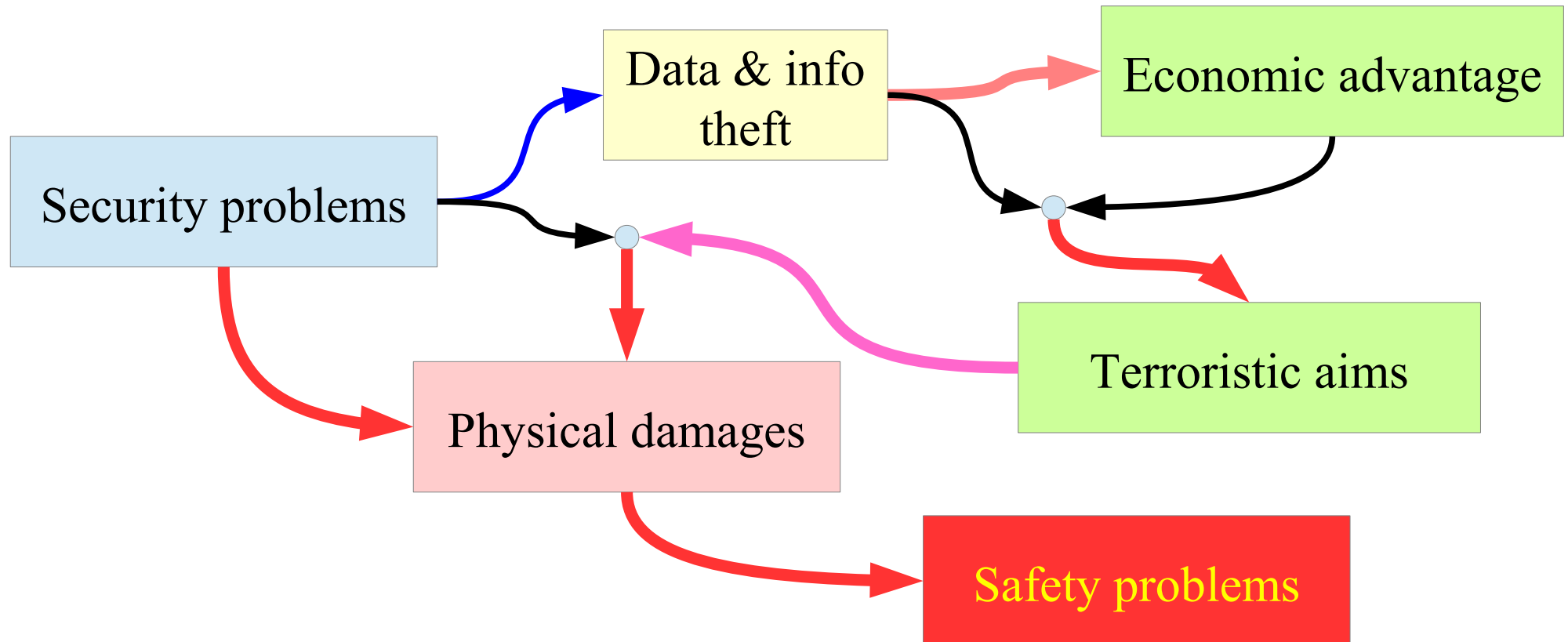
### Security:

The level of security is guaranteed by using **proper codes and applications** to detect threats, e.g., firewalls, intrusion-detection systems, and **systems configurations**, e.g., proxy, redundance.

# Security issues in I.C.S.

---

## Safety and Security in I.C.S.



**Need of integrated design with respect to safety and security**

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# Security issues in I.C.S.

---

## Different characteristics and priorities in ICS and ICT

ICS Priority	ICT Priority
Data availability	Data availability
Data reliability	Data reliability
Data confidentiality	Data confidentiality
Data security	Data security

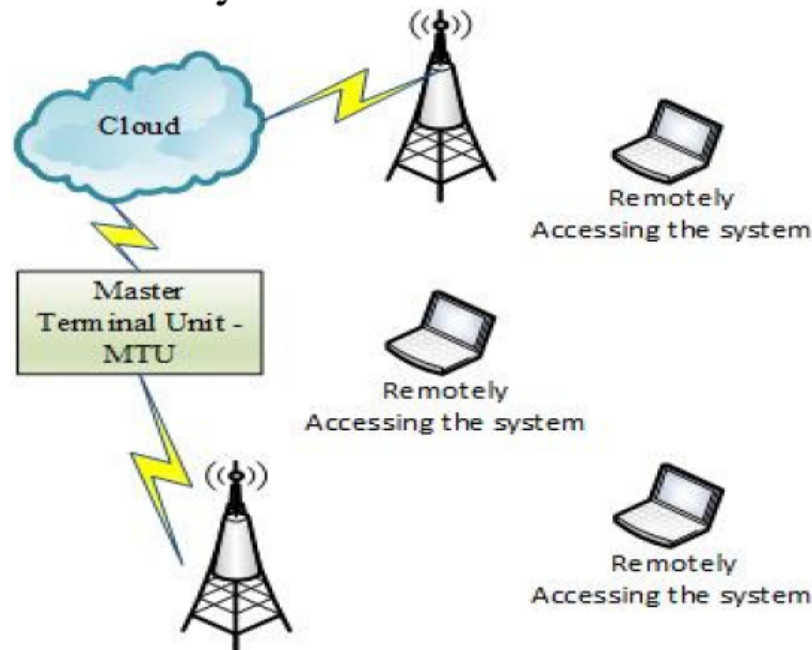
**Can a unsecure data be rialiable?**

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# Security issues in I.C.S.

## Increased risk when distributed computing systems are used

Fourth generation IoT-cloud based SCADA systems



The use of IoT devices can imply a reduced use of VPNs that increases the number of weak points in the network and in the information systems as well

Certified cloud system usually are more secure than local data center of small enterprise

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# Security issues in I.C.S.

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## Causes of increased cyberattack risk to ICS

- ▶ Adoption of standardized technologies (*known vulnerabilities*)
- ▶ Connectivity of many control systems and control devices via, through, within, or exposed to unsecured networks (*Internet included*)
- ▶ Implementation constraints of existing security technologies and practices within the existing control systems infrastructure (*oldness of ICS*)
- ▶ Widespread availability of technical information about control systems on the WWW (*de-classified info*)

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# Security issues in I.C.S.

## **Causes of increased cyberattack risk to ICS**

- ▶ Adoption of simple login procedures to allow for quick reaction during critical situations
- ▶ Use of the same User ID and PSWD to remote operation and maintenance of many devices
- ▶ Share the same profile (User ID and PSWD) among different operators
- ▶ Allow dealers to access plant devices for technical purposes



# Security issues in I.C.S.

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## Cyberattack type to ICS

- ▶ Modify or block the information flow in the control network
- ▶ Change the parameters or the algorithms in the controller
- ▶ Send false information to the supervisory system to induce wrong action by the operators
- ▶ Modify or alter control system software or firmware such that the net effect produces unpredictable results
- ▶ Interfere with the activity of the safety system
- ▶ Direct modification of the codes in unsurveilled remote units

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# Security issues in I.C.S.

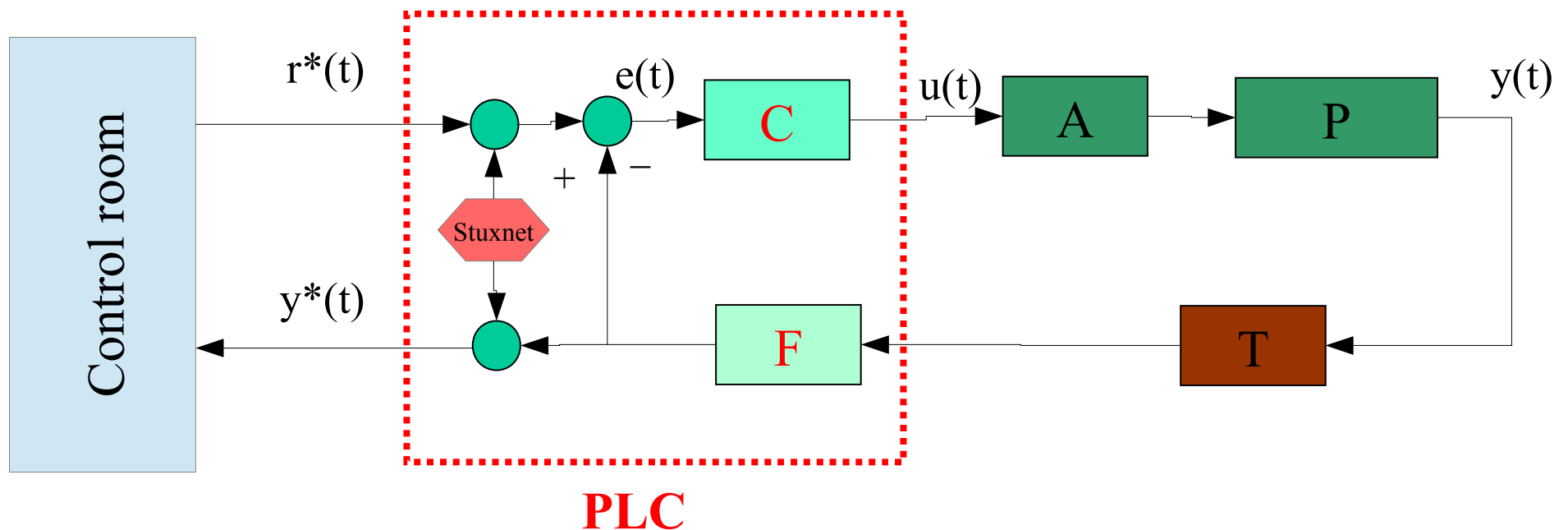
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## Cyberattack type to ICS: *the Stuxnet example*

- ▶ Stuxnet is a worm + rootkit + link
- ▶ Exploit some weakness of Windows operating system
- ▶ Interfere with and changes the control code of Siemens PLC and control system (WinCC, Step7, S7-300)
- ▶ Hide itself from antivirus and from operator supervision
- ▶ Spread itself through the network to control systems based on Siemens software (WinCC, Step7)

# Security issues in I.C.S.

Cyberattack type to ICS: *the Stuxnet example*



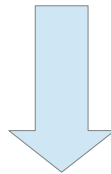
# Security issues in I.C.S.

---

## Cyberattack type to ICS

**Internal:** due to careless behaviour of the users

**External:** due to structural weakness of the system



**Different and properly designed counter-measures**

# Security issues in I.C.S.

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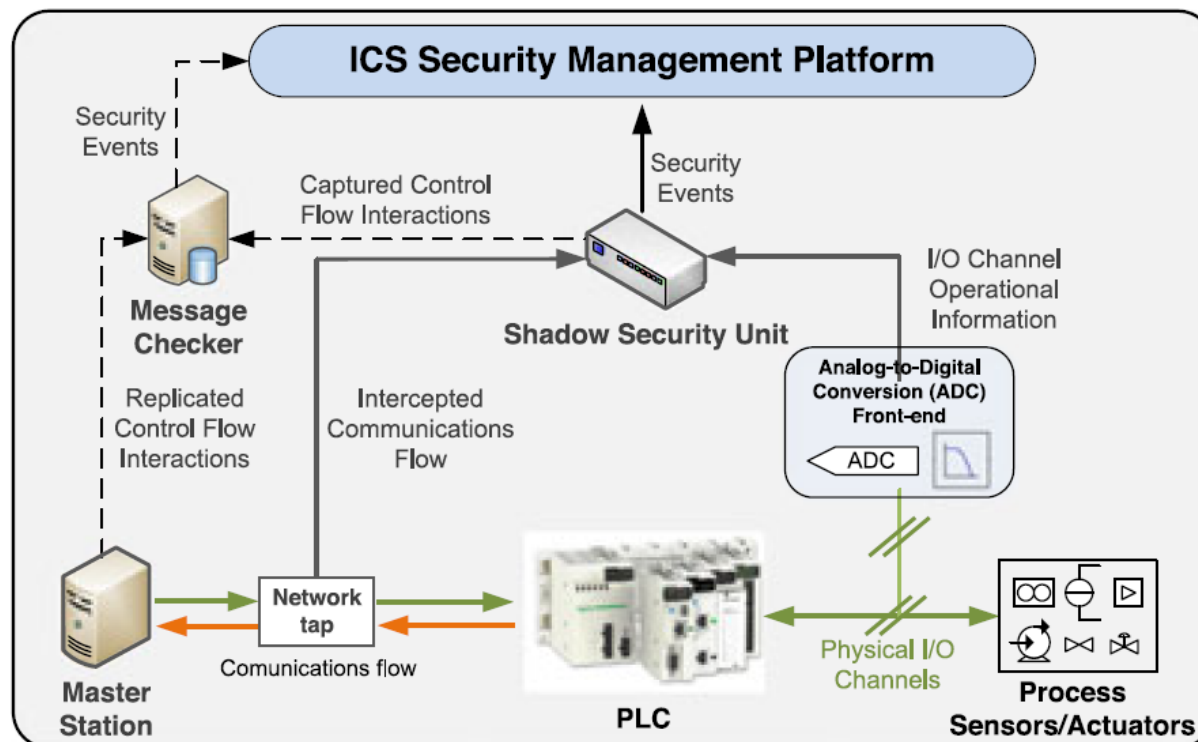
## Countermeasures to decrease the cyber-intrusion risk

- Network segregation/separation (air-gap)
- Check and analysis of the connections
- Check and track logins
- Compartmental design of the system
- Analysis of the network traffic
- Continuous update of the OS and of the applications
- Check of the memories integrity
- Implementation of anti-virus/malware, firewall softwares
- Installation of proxy devices

Systems for Supervision and Control - Integrating ICS

# Security issues in I.C.S.

Additional structures for diagnosis and monitoring are needed



A system for diagnosis is added to continuously verify the congruence of the data transmitted in the control network among PLC/DCS/SCADA and RTU

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